



WESTERN REGION

TRANSMITTAL - COOPERATIVE AGREEMENT DOCUMENT

Attached documents are related to the following:

Agreement NO. 10-9705-2011-CA Date of Award: 04/01/2010 FY: 2010

Cooperator: Arkansas Livestock and Poultry Commission

- | | |
|--|--|
| <input checked="" type="checkbox"/> Notice of Cooperative Agreement | <input checked="" type="checkbox"/> APHIS Form 63 (New Agreements) |
| <input checked="" type="checkbox"/> Standard Form 424 (Application for Assistance) | <input checked="" type="checkbox"/> Lobbying Certification |
| <input checked="" type="checkbox"/> Standard Form 424a (Budget Information) | <input checked="" type="checkbox"/> SF-LLL Disclosure of Lobbying Activities |
| <input checked="" type="checkbox"/> Standard Form 424b (Assurances) | <input checked="" type="checkbox"/> ADODR Letter |
| <input checked="" type="checkbox"/> Program Narrative/Work Plan/Financial Plan | <input checked="" type="checkbox"/> Cooperator Letter |
| <input checked="" type="checkbox"/> Pre-Award Cost Approval Letter | <input checked="" type="checkbox"/> SPOC Letter (if required by State) |
| <input checked="" type="checkbox"/> Approved Decision Letter | <input checked="" type="checkbox"/> FFATA - Suppl. Cooperator Info Sheet |
| <input type="checkbox"/> Indirect Cost Agreement | |
| <input type="checkbox"/> Revision | |

Start Date: <u>04/01/2010</u>	End Date: <u>03/31/2011</u>
Accounting Code: <u>062 9705 851</u>	Amount: <u>\$125,000</u> Program: <u>traceability</u>
Accounting Code: _____	Amount: _____ Program: _____
Accounting Code: _____	Amount: _____ Program: _____

Agreement Specialists:

- | | |
|--|--------------|
| <input type="checkbox"/> Dave Bartling | 970-494-7352 |
| <input type="checkbox"/> Tania Hepburn | 970-494-7356 |
| <input checked="" type="checkbox"/> Lourdes Kramer | 970-494-7362 |



April 8, 2010

United States
Department of
Agriculture

MEMORANDUM

Animal and Plant
Health Inspection
Service

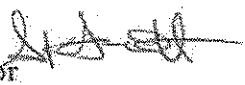
Veterinary Services

Western Region

2150 Centre Avenue
Building B, MS-3E13
Fort Collins, CO
80526-8117

Phone: 970-494-7400
Fax: 970-494-7404

TO: Dr. Roger Holley, AVIC

FROM:  Brian J. McCluskey, Director

SUBJECT: Authorized Departmental Officer's Designated Representative (ADODR)
Notice of Award
Cooperative Agreement No.: 10-9705-2011-CA
Program: animal disease traceability
Cooperator: Arkansas Livestock and Poultry Commission
Federal Award Amount: \$125,000 Cooperator share: \$25,000
Period of Performance: 04/01/10 – 03/31/11
Accomplishment Reports Due: Quarterly

You are hereby designated as Authorized Departmental Officer's Designated Representative (ADODR) for Cooperative Agreement No. 10-9705-2011-CA in effect between the Arkansas Livestock Poultry Commission and the United States Department of Agriculture, Animal and Plant Health Inspection Service (APHIS).

This designation is an APHIS requirement in accordance with the APHIS Agreements Management Manual. You are responsible for the following:

Planning

1. Ensures statutory authority for programs prior to announcing opportunity or contacting intended recipient.
2. Ensures that appropriated funding is available and is used for the intended purpose.
3. Ensures that competition is considered when appropriate.
 - a. Shall prepare funding opportunity announcement, as applicable. When required, collaborate with an Agreements Specialist to complete the necessary documents prior to posting the competitive announcement on Grants.gov.
 - b. Shall prepare a Justification of Non-Competition (APHIS-63) when agreements are not competitive.
4. Ensures compliance with applicable mission related and grants management Federal laws, rules, regulations, policies and procedures in all phases of the process.

Preaward

1. Ensures applicant does not start work before the execution of the award by all parties, unless pre-award activities have been justified and approved by the Authorized Departmental Officer (ADO) in writing.
2. For competitive awards, develops evaluation criteria for conducting a fair and equitable evaluation. Once applications are received, coordinates evaluation of applications.
3. For cooperative agreements, works collaboratively with the applicant to document the negotiated terms and conditions, including each party's roles and responsibilities, in the



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1-800-877-8339

- work plan(s) and financial plan(s).
4. Approves work plan(s) and financial plan(s). When indirect costs are included in the financial plan, ensures that a current, signed indirect cost rate agreement is received.
 5. When applicable, ensures compliance with Executive Order 12372, Intergovernmental Review of Federal Programs, as coordinated by the Single Point of Contact within the State.

Award

1. Reviews and provides assurance to the ADO that the terms and conditions of the Notice of Award (NOA) reflect the circumstances as presented in the work plan(s) and financial plan(s), including but not limited to:
 - a. The summary of the project reflected in Article 1, Purpose, is appropriate and comprehensive. When awarding multiple projects under umbrella-type agreements, the summary should be comprehensive to all projects conducted under the award.
 - b. Proper application of program income,
 - c. loaned federal equipment,
 - d. geospatial information systems,
 - e. information technology (Radios, GPS, computers, software sharing, etc.)
 - f. cost sharing.
2. Ensures that, when using umbrella-type agreements, all terms and conditions of the Notice of Award are applicable to all work plans and financial plans, e.g., if including the additive method of applying program income, that clause would pertain to all program income for any of the projects covered by separate work plans.

Post Award:

All post award activities are ongoing and can happen simultaneously.

1. Monitors and evaluates the recipient's performance through the timely submission of accomplishment reports. Resolves any discrepancies or deficiencies in program performance.
2. Documents and notifies the ADO of all performance issues/deficiencies and efforts made to correct them.
3. Ensures that the terms of the agreement remain current through monitoring the recipient's performance.
4. **Provides technical assistance to the recipient regarding program activities conducted within the scope and terms of the agreement.**
5. Reviews and accepts, if consistent with anticipated program expenditures and program accomplishments, all Financial Status Reports, SF-269, received from the recipient. Resolves discrepancies and notifies ADO of unresolved issues.
6. Reviews and certifies payment requests (SF-270) as correct and appropriate in accordance with program accomplishments and planned activities. Withholds certification of payment, as appropriate, in accordance with regulations and terms of the NOA.

Closeout

1. Ensures that physical inventories are conducted and reconciled as required under the regulations applicable to:
 - a. recipient purchased equipment, and
 - b. Federally-loaned equipment.

2. Determines when APHIS should take action to transfer title of federally funded property. Notifies ADO of need for transfer of equipment so that timely written notification by ADO can occur.
3. Ensures funds and a mechanism for payment are available to reimburse the cooperator for their pro-rata share (as determined by the cost share during the year of purchase) of the fair market value of the equipment at the time of transfer of title to the Federal Government.
4. Initiates, completes, and distributes closeout report (see the AAMM, Exhibit S-7) and ensures all actions addressed therein are completed.
 - i. Ensures discrepancies are corrected.
 - ii. Notifies the ADO of any financial collection required. (including interest due, improper advances, overpayment, and/or reimbursement for lost destroyed, or damaged federal property).
 - iii. Obtains all final reports related to performance, finance and any payment requests from the recipient.
 - iv. Ensures that remaining available balances are deobligated.
5. Ensures the return of any federally-owned property that has been loaned to the cooperator and documents the condition of the property upon return to APHIS.
6. When necessary, takes action to resolve issues regarding lost, stolen, or damaged Federal property in accordance with Federal property management regulations.

Cooperator's must obtain, through the ADODR's, written approval of the ADO by amendment to the agreement, as appropriate, to change any of the terms and conditions of the Notice of Award, Work Plan, or Financial Plan.

If you have any questions regarding these responsibilities, your contact is Dave Bartling (970)-494-7352, Tania Hepburn (970)-494-7356, or Lourdes Kramer (970)-494-7362.



April 8, 2010

United States
Department of
Agriculture

Animal and Plant
Health Inspection
Service

Veterinary Services

Western Region
2150 Centre Avenue
Building B, MS-3E13
Fort Collins, CO
80526-8117

Phone: 970-494-7400
Fax: 970-494-7404

Mr. Jon S. Fitch
Arkansas Livestock and Poultry Commission
#1 Natural Resources Drive
Little Rock, AR 72215

Dear Mr. Fitch:

Enclosed for your records is the completed, executed document for the Notice of Cooperative Agreement Award #10-9705-2011-CA. This Agreement is for activities relating to conducting the animal disease traceability program.

This Notice of Cooperative Agreement Award is for Federal Fiscal Year 2010 and is effective April 1, 2010, through March 31, 2011, in the amount of \$125,000.

We have appointed Dr. Roger Holley, Area Veterinarian in Charge, as the Authorized Departmental Officer's Designated Representative (ADODR) for this Cooperative Agreement. Dr. Holley can be reached at USDA, APHIS, Veterinary Services: 1200 Cherry Brook Drive, Suite 300, Little Rock, AR, 72211 or at (501) 224-9515.

If you have any questions or concerns regarding this Agreement, please refer them to Dr. Holley.

Sincerely,

Brian J. McCluskey
Director, WR, VS

Enclosure

cc:
ABS, Minneapolis, MN
Dr. Roger Holley, ADODR, Little Rock, AR
ITD



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NOTICE OF COOPERATIVE AGREEMENT AWARD
BETWEEN THE
ARKANSAS LIVESTOCK AND POULTRY COMMISSION (COOPERATOR)
AND THE
UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE (APHIS)
VETERINARY SERVICES

ARTICLE 1 - PURPOSE

The purpose of this Agreement is to provide Federal financial assistance to conduct education, outreach, and premises registration activities that will provide specific information to the Cooperator, APHIS, and other interested parties for the animal disease traceability program.

ARTICLE 2 - AUTHORITIES

Under the Animal Health Protection Act, as amended, (7 USC §§ 8401 et. seq.) (AHPA), the Secretary of Agriculture is authorized to issue regulations and orders and to carry out operations and measures to prevent, detect, control, and eradicate diseases and pests of livestock and to cooperate with other Federal agencies, States or political subdivisions of States, national governments of foreign countries, local governments of foreign countries, domestic or international organizations, domestic or international associations, Indian tribes and other persons to carry out the purposes of the AHPA.

Pursuant to 7 USC § 2279g, notwithstanding Chapter 63 of Title 31, APHIS is authorized to use cooperative agreements to reflect a relationship with a state or other cooperator to carry out programs to protect the nation's animal and plant resources or to carry out educational programs or special studies to improve the safety of the nation's food supply.

ARTICLE 3 - MUTUAL UNDERSTANDINGS AND RESPONSIBILITIES

The cooperating parties agree to that:

a. A mutually satisfactory annual **Work Plan** and **Financial Plan** developed by the **Cooperator** and **APHIS** are incorporated into this **Agreement** by reference. If **APHIS** initially awards a reduced level of funding during a Continuing Resolution (CR), there will be a corresponding decrease in the projected accomplishments for the funding period. Upon extension of the CR or passage of an appropriation by Congress, revisions will be executed to increase the federal share, based on available funds, not to exceed the level reflected in the annual Financial Plan.

b. The provisions of this **Agreement** will not replace functions that are being conducted by the **Cooperator** but will supplement those activities and increase program benefits to all parties.

c. The employee(s) responsible for this work will be under the general program direction of the **Cooperator** and **APHIS**. Supervision of personnel will be provided by their employing organization, and they will be subject to their employing organizations rules and regulations.

ARTICLE 4 – COOPERATOR RESPONSIBILITIES

The Cooperator understands and agrees to that:

- a. Designate in writing to APHIS the Cooperator's authorized representative who shall be responsible for collaboratively administering the activities conducted under this Agreement.
- b. Furnish personnel, as required, to accomplish the activities outlined in the Work Plan and Financial Plan.
- c. Provide funds as partial payment of expenditures incurred in carrying out the terms of this Agreement in accordance with the Work Plan and Financial Plan.
- d. The Cooperator's representative designated as required in Article 4.a shall certify and submit to APHIS' authorized representative quarterly accomplishment reports on activities outlined in the Work Plan and Financial Plan. The reports will be used by APHIS to verify compliance with provisions of this Agreement. They are due:

First Quarter: July 30, 2010 *(for the period 4/1/10 through 6/30/10)*

Second Quarter: October 30, 2010 *(for the period 7/1/10 through 9/30/10)*

Third Quarter: January 30, 2011 *(for the period 10/1/10 through 12/31/10)*

Final: No later than 90 days after the Agreement expires or terminates.

Any requests for an extension of time to submit the reports must be justified and made in writing to APHIS' authorized representative before expiration of the initial 30 or 90 day period allowed for submitting the report. Extensions of time to submit the reports are subject to the discretion of APHIS' authorized representative and, if allowed, shall be provided by the authorized representative in writing. When an agreement includes multiple projects covered by multiple Work Plans and Financial Plans, each project must be reported separately.

- e. Submit to APHIS' designated representative properly certified quarterly/semiannual Federal Financial Reports (FFR). They are due:

First Quarter: July 30, 2010 *(for the period 4/1/10 through 6/30/10)*

Second Quarter: October 30, 2010 *(for the period 7/1/10 through 9/30/10)*

Third Quarter: January 30, 2011 *(for the period 10/1/10 through 12/31/10)*

Final: No later than 90 days after the Agreement expires or terminates.

Any requests for an extension of time to submit the FFR must be justified and made in writing to APHIS' authorized representative before expiration of the initial 30 or 90 day period allowed for submitting the report. Extensions of time to submit the FFR are subject to the discretion of APHIS' authorized representative and, if allowed, shall be provided by the authorized representative in writing. In addition, APHIS requires a separate FFR for each award. When an agreement includes multiple projects covered by multiple Work Plans and Financial Plans, each project must be reported on a separate FFR. Further, all federal funds reflected as unobligated on the final FFR will no longer be available for obligation by the Cooperator.

- f. Treat any program income derived under this Agreement using the Deduction Alternative in accordance with the provisions of 7.CFR 3016.25(g)(1) which provides for a decrease in the financial contributions of each cooperating party to this project.

g. Submit to APHIS a properly certified Request for Advance or Reimbursement, SF-270, when requesting payment for expenditures. A payment request may be submitted quarterly or more frequently; however, advance of funds will be made by APHIS in increments as indicated under 11.j of the SF-270 to cover monthly disbursement needs.

h. Obtain a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number by calling D&B at (800) 333-0505 (most expeditious) or visiting their website at <http://www.dnb.com/us>. This requirement does not apply to individuals applying for assistance, unless it supports a business or non-profit organization they operate. Upon obtaining the DUNS number, the Cooperator further agrees to register in the Central Contractor Registry (CCR) by visiting their website at <http://www.ccr.gov> (most expeditious) or calling 888-227-2423. The Cooperator also agrees to update the CCR information as necessary and to renew the registration annually prior to its expiration date. This registration will provide a means to receive electronic funds transfers of all payments requested on the SF-270. Cooperators without accounts at financial institutions can request waivers due to hardship because of physical or geographical barrier.

i. APHIS may withhold payments called for in Article 5.b under the conditions outlined in 7 CFR 3016.21(g), including failure to comply with project objectives, the terms and conditions of the award, and Federal reporting requirements; if the Cooperator or a sub-recipient is delinquent on debt to the United States, or such other conditions outlined in the cited regulations.

j. Comply with 7 CFR 3017, Subpart C to ensure that any subrecipients that carry out the provisions of this Agreement are not debarred or suspended. Subrecipients are required to disclose if they, or any of their principals, are presently excluded or disqualified.

k. Comply with and enforce the requirements for a drug-free workplace as mandated in 7 CFR 3021, "Governmentwide Requirements for Drug-Free Workplace".

l. Comply with and enforce the requirements in 7 CFR 3018.110.(d) (1) and (2) for completion of the Certification Regarding Lobbying and the SF-LLL, Disclosure of Lobbying Activities. Such certifications and disclosures apply to the Cooperator and any subgrants and subcontracts exceeding \$100,000.

m. When connected to the USDA, APHIS network, comply with the security guidelines as outlined in the USDA Cyber Security Manual Series 3500; including USDA Departmental Manual (DM) 3515, "Privacy Requirements", and USDA DM 3525, "USDA Internet Use and E-Mail Security". The Cooperator will not download any material (i.e., pictures, movies, or music files) bearing a copyright nor access any material defined as inappropriate in these regulations and directives. The Cooperator agrees that any of its personnel that are given access to the APHIS network, any systems on the APHIS network, or any personnel using APHIS owned computer equipment will take any APHIS required security and privacy training.

n. Work with the APHIS to ensure compliance with National Institute of Standards and Technology (NIST) system requirements and APHIS' Certification and Accreditation (C&A) standards. Specifically, the Cooperator will comply with NIST SP 800 – 37, "Guide for the Security Certification and Accreditation of Federal Information Systems"; NIST SP 800 – 53, "Recommended Security Controls for Federal Information Systems"; APHIS C&A Manual including APHIS C&A Templates; and any other relevant NIST and APHIS guides. Refer to the NIST website at <http://csrc.nist.gov/publications/nistpubs/index.html> to obtain copies of the NIST C&A publications.

o. When transmit frequency determining devices (transmitters) are owned by the Federal Government, the Federal Government will have responsibility for frequency support (frequency authorizations for fixed locations). If Cooperator-owned devices are provided, it will be the Cooperator's responsibility to obtain frequency support by application to the Federal Communications Commission for use of government frequencies, or to obtain non-government frequencies. All radio equipment will be maintained to original factory technical specifications. Mobile radio equipment removed from service will be kept at a central location with notification made to the designated Federal official. Notification of any changes, relocation, or removal of base stations or repeater stations in the system will be made to the APHIS Radio Communications Manager at Lakewood, Colorado, who will be available for technical guidance and, if needed, make periodic trips to monitor the system.

p. Maintain an inventory control system of property purchased by the Cooperator in whole or in part with Federal funds as required in the Section entitled "Equipment" of 7 CFR 3016.32. Cooperators shall conduct a physical inventory at least every two years and make available, as requested, the required records for review by APHIS. A copy of the reconciled final inventory report will be provided to APHIS as stated in Article 10 of this Agreement.

q. Provide an annual inventory report of any Federally-owned or Federally-leased equipment on loan to the Cooperator to include a description, manufacturer model and serial number, acquisition date and cost. A disposition request shall be made to APHIS when the property is no longer needed.

r. When the Federal share of total project costs as reflected in the Financial Plan is over \$100,000 and a cumulative transfer among direct cost categories is in excess of ten percent of the current approved total budget, the Cooperator will request written prior approval for the budget revision. The Cooperator will submit a revised SF-424A, Budget Information, and detailed Financial Plan under a cover letter to the APHIS awarding official containing a narrative justification for the proposed revision. Transfers of funds among programs, functions, or activities as indicated in Section B of the SF-424A is prohibited.

s. Comply with the requirements for coordination, development, and use of geospatial data as mandated in OMB Circular A-16, "Coordination of Geographic Information and Related Spatial Data Activities".

t. Meet the reporting requirements of the Federal Funding Accountability and Transparency Act by providing the following information. Parent organization DUNS number; primary place of performance street address; city, county, state, country and zip code; indicate if performance is in multiple counties and/or states; and provide any comments that might be relevant. APHIS will provide a supplemental sheet for the Cooperator's convenience in recording this information.

u. Pursuant to 31 USC 3706 and 7 CFR 3.10 to 7 CFR 3.21, any funds paid to a cooperator in excess of the amount to which the cooperator is finally determined to be entitled under the terms and conditions of the award constitute a debt to the Federal Government. If not paid within a reasonable period after the demand for payment, the Federal awarding agency may reduce the debt by:

- (1) Making an administrative offset against other requests for reimbursements.
- (2) Withhold advance payments otherwise due to the Cooperator
- (3) Taking other action permitted by statute.

Except as otherwise provided by law, the Federal awarding agency shall charge interest on an overdue debt in accordance with 4 CFR, Chapter II "Federal Claims Collection Standards" and 31 USC, Chapter 37.

v. Any information furnished to APHIS under this Agreement is subject to the Freedom of Information Act (5 USC 552).

w. Unless otherwise specified in the Work Plan, provide vehicles and other equipment for its employee(s) while performing the activities outlined in the Work Plan.

x. Comply with the Executive Order entitled "Federal Leadership on Reducing Text Messaging While Driving" signed by President Barack Obama on October 1, 2009, by prohibiting Cooperator employees, recipients, subrecipients, contractors, and subcontractors from texting while driving on official business and/or in Federally-owned, rented, or leased vehicles (collectively government owned vehicles) or privately owned vehicles when on official Government business or when performing any work for or on behalf of or in cooperation with the Federal government.

Further, APHIS encourages the Cooperator to implement--and to encourage its recipients, sub-recipients, contractors, and subcontractors, to implement--new rules and programs, and re-evaluate existing programs to prohibit text messaging while driving, and conduct education, awareness, and other outreach for its employees about the safety risks associated with texting while driving. These initiatives should encourage voluntary compliance with the text messaging policy while off duty.

For purposes of this requirement, the following definitions apply:

(1) "Texting" or "Text Messaging" means reading from or entering data into any handheld or other electronic device, including for the purpose of SMS texting, e-mailing, instant messaging, obtaining navigational information, or engaging in any other form of electronic data retrieval or electronic data communication.

(2) "Driving" means operating a motor vehicle on an active roadway with the motor running, including while temporarily stationary because of traffic, a traffic light or stop sign, or otherwise. It does not include operating a motor vehicle with or without the motor running when one has pulled over to the side of, or off, an active roadway and has halted in a location where one can safely remain stationary.

ARTICLE 5 – APHIS RESPONSIBILITIES

APHIS agrees to that:

a. Designate in writing to the Cooperator APHIS' Authorized Departmental Officer's Designated Representative (ADODR) who shall be responsible for collaboratively administering the activities conducted under this Agreement.

b. Provide funds on an advance or reimbursable basis as partial payment of allowable, agreed-to costs incurred by the Cooperator in carrying out the terms of this Agreement in accordance with the Work Plan and Financial Plan.

c. Make advance payments, if requested by the Cooperator, monthly and upon receipt of a properly certified Request for Advance or Reimbursement, SF270.

d. Provide personnel and other resources to carry out its responsibilities as outlined in the Work Plan and Financial Plan.

e. Upon receipt of the final Federal Financial Report, provide written notification to the cooperator that the balance of Federal funds reported as unobligated will no longer be available to the Cooperator.

f. Assist the Cooperator in selecting qualified candidates to perform activities outlined in the Work Plan and Financial Plan and provide general program direction to employees assigned to the cooperative endeavor. However, the assigned employees will remain subject to the Cooperator's rules and regulations.

g. Provide special training to carry out assignments, as mutually deemed necessary.

ARTICLE 6 – UNEMPLOYMENT COMPENSATION

Actual costs incurred for unemployment insurance or equitable contributions made to a self-insured unemployment fund are allowable. APHIS does not allow payment of costs incurred for unemployment claims.

ARTICLE 7 – CONGRESSIONAL RESTRICTION

Under 41 USC 22, no member of or delegate to Congress shall be admitted to any share or part of this Agreement or to any benefit to arise therefrom.

ARTICLE 8 – APPLICABLE REGULATIONS

As a condition of this award, the Cooperator agrees to comply and require subrecipients to comply with the requirements contained in the United States Department of Agriculture's "Uniform Federal Assistance Regulations", 7 CFR 3015; "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 7 CFR 3016; and/or "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations", 7 CFR 3019; in addition to "Governmentwide Debarment and Suspension (Non-Procurement)", 7 CFR 3017; "Governmentwide Requirements for Drug-Free Workplace", 7 CFR 3021; "New Restrictions on Lobbying", 7 CFR 3018; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320.

ARTICLE 9 – TITLE TO EQUIPMENT

APHIS reserves the right to transfer title to any equipment purchased partially or fully by the Cooperator under this Agreement with Federal funds within 120 days after the end of the Federal support of the project for which it was acquired. Upon transfer of title, the Cooperator will be entitled to compensation equal to its percentage of participation in the purchase of the equipment in the year purchased, applied to the fair market value at the time title is transferred.

ARTICLE 10 – PATENTS AND INVENTIONS

The Cooperator has the explicit duty of notifying APHIS' authorized representative, in writing, prior to the time of application for any patent or invention which is paid for in any manner or any percentage of funds provided by APHIS. This duty is not limited to the period during the Agreement, but may arise at any time during or

subsequent to the Agreement. APHIS reserves to itself a royalty-free, nonexclusive, and irrevocable right to use and authorize others to use the product or invention produced under this Agreement for Government purposes. APHIS also retains the ability to force utilization of the patented invention by designating licenses in any field of use where the patentee has failed to act with reasonable diligence.

Any royalties or equivalent income earned during the effective period of this Agreement on patents or inventions derived under this Agreement shall be considered program income and treated under the provisions of 7 CFR 3016.25(g)(1).

ARTICLE 11 – COPYRIGHTS

APHIS reserves a royalty-free, nonexclusive, and irrevocable license to exercise, and to authorize others to exercise, the rights for Federal government purposes to copyrighted materials developed under this Agreement. Subject to this license, the owner is free to exercise, preserve, or transfer all its rights. The Cooperator shall ensure that no agreement is entered into for transferring the rights which would conflict with the nonexclusive license of APHIS.

Any royalties or equivalent income earned during the effective period of this Agreement on copyrighted material derived under this Agreement shall be considered program income and treated under the provisions of 7 CFR 3016.25(g)(1).

ARTICLE 12 – PUBLICATIONS AND AUDIOVISUALS

The final draft of any funded publication or audiovisual must be submitted by the Cooperator to APHIS' authorized representative prior to final printing, editing or release of the product so that APHIS can make a determination as to whether APHIS' participation in the project will be acknowledged. APHIS, furthermore, may require that the Cooperator modify or purge any acknowledgment of its support for activities conducted under this Agreement as a result of its review of a final draft. If APHIS has not responded within 30 days of receipt of the draft, the Cooperator will be free to proceed with publication without an acknowledgment. In the event that APHIS elects not to acknowledge the product, the Cooperator agrees not to attribute sponsorship by APHIS by any means including, but not limited to, publications, interviews, new releases, etc.

When an acknowledgment is desired by APHIS, unless otherwise instructed by APHIS, the statement shall read: "This material was made possible, in part, by a Cooperative Agreement from the United States Department of Agriculture's Animal and Plant Health Inspection Service (APHIS). It may not necessarily express APHIS' views."

Additionally, any other acknowledgment, including use of the APHIS Logo, by the Cooperator of APHIS support shall have the express written permission of APHIS signatory to this Agreement, which shall be requested through the APHIS representative designated under this Agreement.

ARTICLE 13 – FEDERAL VEHICLE MANAGEMENT

The Cooperator agrees to comply with the requirements of 41 CFR 10138.3011 (41 CFR 10234 Subpart C) and vehicle usage policy as stated in the APHIS Motor Vehicle Fleet Management Manual. The Cooperator further agrees to indemnify the United States of America for any and all property damage and personal injury caused by the Cooperator's employees resulting from use of said vehicles, and further agrees to save and hold harmless the United States of America from any and all claims for such property damage and personal injury caused by the

Cooperator's employees. The Cooperator further agrees to reimburse the United States of America by and through APHIS for any property damage to any Federally-owned or Federally-leased vehicles, less normal wear and tear, which may occur through the use of said vehicles under this Agreement.

ARTICLE 14 – BUY AMERICAN ACT

In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds made available under the Agriculture, Rural Development, Food and Drug Administration, and Emergency Agencies Appropriations Act for the current Federal fiscal year, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products.

ARTICLE 15 – FUNDING PERIOD OBLIGATIONS AND EXTENSIONS

The funding period is the period during which this Agreement is in effect. Any funds not obligated by the Cooperator during the funding period will revert to APHIS upon the expiration or termination of this funding period. Under 7 CFR 3016.30, this Agreement is subject to a one-time extension of up to 12 months to complete this project. The Cooperator must submit a written request including an SF-424, Application for Federal Assistance, to extend the duration to be received by APHIS at least 10 days prior to the expiration of the funding period. The SF-424 must be accompanied by a justification explaining the reason for program delays, the program impact without the extension, and the anticipated completion date. During the extension period, financial and progress reports will continue with the same frequency as provided in the original funding period. As stated in 7 CFR 3016.30, requests for extension purely to obligate funds will be denied by APHIS. All extensions must be approved, in writing, by APHIS prior to the expiration of the original funding period.

ARTICLE 16 – NON-DISCRIMINATION CLAUSE

No person in the United States shall be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination in programs or activities funded in whole or in part by the United States Department of Agriculture based on race, color, national origin, age, disability, and, where applicable, sex, religion or political beliefs. Recipients will post a non-discrimination statement in accordance with USDA Departmental Regulation 4300-003 paragraph 7.b(2) found at <http://www.ocio.usda.gov/directives/doc/DR4300-003.pdf>.

ARTICLE 17 – TRAFFICKING IN PERSONS

APHIS, as the Federal awarding agency, hereby advises the Cooperator, as the recipient, that they are subject to the provisions of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 USC 7104(g)), as follows:

a. Provisions applicable to a recipient that is a private entity.

(1) You, as the recipient, your employees, subrecipients under this award, and subrecipients' employees may not --

(a) Engage in severe forms of trafficking in persons during the period of time that the award is in effect;

(b) Procure a commercial sex act during the period of time that the award is in effect; or

(c) Use forced labor in the performance of the award or subawards under the award.

(2) We as the Federal awarding agency may unilaterally terminate this award, without penalty, if you or a subrecipient that is a private entity --

(a) Is determined to have violated a prohibition in paragraph a.(1) of this award term; or

(b) Has an employee who is determined by the agency official authorized to terminate the award to have violated a prohibition in paragraph a.(1) of this award term through conduct that is either--

i. Associated with performance under this award; or

ii. Imputed to you or the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR part 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by our agency at 7 CFR 3017.

b. Provision applicable to a recipient other than a private entity. We as the Federal awarding agency may unilaterally terminate this award, without penalty, if a subrecipient that is a private entity --

(1) Is determined to have violated an applicable prohibition in paragraph a.(1) of this award term; or

(2) Has an employee who is determined by the agency official authorized to terminate the award to have violated an applicable prohibition in paragraph a.(1) of this award term through conduct that is either --

(a) Associated with performance under this award; or

(b) Imputed to the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR part 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by our agency at 7 CFR 3017.

c. Provisions applicable to any recipient.

(1) You must inform us immediately of any information you receive from any source alleging a violation of a prohibition in paragraph a.(1) of the award term.

(2) Our right to terminate unilaterally that is described in paragraph a.(2) or b of this section:

(a) Implements section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 USC 7104(g)), and

(b) Is in addition to all other remedies for noncompliance that are available to use under this award.

(3) You must include the requirements of paragraph a.(1) of this award term in any subaward you make to a private entity.

d. Definitions. For purposes of this award term:

(1). "Employee" means either:

(a) An individual employed by you or a subrecipient who is engaged in the performance of the project or program under this award; or

(b) Another person engaged in the performance of the project or program under this award and not compensated by you including, but not limited to, a volunteer or individual whose services are contributed by a third party as an in-kind contribution toward cost sharing or matching requirements.

(2) "Forced labor" means labor obtained by any of the following methods: the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.

(3) "Private entity":

(a) Means any entity other than a State, local government, Indian tribe, or foreign public entity, as those terms are defined in 2 CFR 175.25.

(b) Includes:

i. A nonprofit organization, including any nonprofit institution of higher education, hospital, or tribal organization other than one included in the definition of Indian tribe at 2 CFR 175.25(b).

ii. A for-profit organization.

(4) "Severe forms of trafficking in persons," "commercial sex act," and "coercion" have the meanings given at section 103 of the TVPA, as amended (22 USC 7102).

ARTICLE 18 – FLY AMERICAN ACT

The Cooperator organization shall comply with section 5 of the International Air Transportation Fair Competitive Practices Act of 1974, 49 U.S.C. 1517 (Fly American Act), which requires:

a. Any air transportation to, from, between, or within a country, other than the U.S., of persons or property, the expense of which will be assisted by USDA funding, will be performed on a United States flag carrier if service provided by such carrier is "available."

b. For the purposes of the requirement:

(1) Passenger or freight service by a certified air carrier is considered "available" even though:

(a) Comparable or a different kind of service by a non-certificated air carrier costs less; or

(b) Service by a non-certificated air carrier can be paid for in excess foreign currency; or

(c) Service by a non-certificated air carrier is preferred by the recipient organization contractor or traveler needing air transportation.

(2) Passenger service by a certificated air carrier is considered to be "unavailable":

- carrier: or
- (a) When the traveler, while enroute, has to wait 6 hours or more for an available United States carrier; or
 - (b) When any flight by a U.S. carrier interrupted by a stop anticipated to be 6 hours or more for refueling, reloading, repairs, and so forth, and no other flight by a United States carrier is available during the 6 hour period; or
 - (c) When the flight by a United States carrier takes 12 or more hours longer than a foreign carrier.

ARTICLE 19 – FUNDING/EFFECTIVE PERIOD, REVISIONS, AND TERMINATION

The Federal award for this Agreement is in the amount of \$125,000 and the Cooperator's share is \$25,000 for a total project cost of \$150,000. These contributions establish a cost share ratio which shall be attained for the funding period except to the extent that there are cost overruns. Cost overruns will be the sole responsibility of the Cooperator, unless additional funding is secured from APHIS prior to the expiration of the funding period. In the event that project costs are less than projected, each party will realize a percentage of the savings to be distributed based on the established ratio. This Agreement shall become effective April 1, 2010, and shall continue through March 31, 2011, subject to continuation in writing by mutual agreement of the parties. Further, this Agreement may be amended at any time during the effective period by mutual agreement of the parties in writing. It may be terminated following provisions of 7 CFR 3016.

ARKANSAS LIVESTOCK AND POULTRY COMMISSION

 4/2/10
Date

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE VETERINARY SERVICES

 4/8/10
Date

Brian J. McCluskey, Regional Director

Budget Object Class: 2551
Accounting Code: 062 9705 851
Federal Amount: \$125,000

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>	
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: AR 021065			
5a. Federal Entity Identifier: <input type="text"/>			* 5b. Federal Award Identifier: 10-9705-2011-CA		
State Use Only:					
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>			
8. APPLICANT INFORMATION:					
* a. Legal Name: Arkansas Livestock & Poultry Commission					
* b. Employer/Taxpayer Identification Number (EIN/TIN): 71-087443			* c. Organizational DUNS: 022563695		
d. Address:					
* Street1:		#1 Natural Resources Drive			
Street2:		<input type="text"/>			
* City:		Little Rock			
County:		Pulaski			
* State:		AR			
Province:		<input type="text"/>			
* Country:		USA: UNITED STATES			
* Zip / Postal Code:		72215			
e. Organizational Unit:					
Department Name: Livestock Inspection & Disease Control			Division Name: Administration		
f. Name and contact information of person to be contacted on matters involving this application:					
Prefix:		* First Name:		Jon	
Middle Name:		S			
* Last Name:		Fitch			
Suffix:		<input type="text"/>			
Title:		Executive Director			
Organizational Affiliation: <input type="text"/>					
* Telephone Number:		501-907-2400		Fax Number: 501-907-2425	
* Email:		jfitch@alpc.ar.gov			

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

USDA APHIS Veterinary Services

11. Catalog of Federal Domestic Assistance Number:

10-025

CFDA Title:

Plant Pests & Animal Diseases

* 12. Funding Opportunity Number:

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

State of Arkansas

* 15. Descriptive Title of Applicant's Project:

Animal Disease Traceability

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant All four

* b. Program/Project Animal Disease Traceability

Attach an additional list of Program/Project Congressional Districts if needed:

17. Proposed Project:

* a. Start Date: 4/1/2010

* b. End Date: 3/31/2011

18. Estimated Funding (\$):

* a. Federal \$125,000

* b. Applicant

* c. State \$ 25,000

* d. Local

* e. Other

* f. Program Income

* g. TOTAL \$150,000

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

☒ a. This application was made available to the State under the Executive Order 12372 Process for review on

3/3/2010

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☐ c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes

☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Mr.

* First Name:

Jon

Middle Name:

S

* Last Name:

Fitch

Suffix:

* Title:

Executive Director

* Telephone Number:

501-907-2400

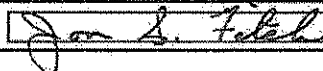
Fax Number:

501-907-2425

* Email:

jfitch@alpc.ar.gov

* Signature of Authorized Representative:



* Date Signed:

4/2/2010

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Plant Pests & Animals	10-025	\$	\$	\$ 125,000.00	\$ 25,000.00	\$ 150,000.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 125,000.00	\$ 25,000.00	\$ 150,000.00

SECTION B - BUDGET CATEGORIES

Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY					Total (5)
	(1)	(2)	(3)			
a. Personnel	\$ 85,198.00	\$ 17,040.00	\$	\$	\$	\$ 102,238.00
b. Fringe Benefits	23,003.00	4,400.00				27,403.00
c. Travel	16,799.00	3,560.00				20,359.00
d. Equipment						0.00
e. Supplies						0.00
f. Contractual						0.00
g. Construction						0.00
h. Other						0.00
i. Total Direct Charges (sum of 6a-6h)	125,000.00	25,000.00		0.00	0.00	150,000.00
j. Indirect Charges						0.00
k. TOTALS (sum of 6i and 6j)	\$ 125,000.00	\$ 25,000.00	\$	0.00	\$ 0.00	\$ 150,000.00
7. Program Income	\$	\$	\$		\$	\$ 0.00

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Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES						
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS		
8.	\$	\$ 25,000.00	\$	\$	\$	25,000.00
9.						0.00
10.						0.00
11.						0.00
12. TOTAL (sum of lines 8-11)	\$	0.00	\$ 25,000.00	\$	0.00	\$ 25,000.00
SECTION D - FORECASTED CASH NEEDS						
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	
	\$	\$	\$	\$	\$	\$
13. Federal	125,000.00	31,250.00	31,250.00	31,250.00	31,250.00	31,250.00
14. Non-Federal	25,000.00	6,250.00	6,250.00	6,250.00	6,250.00	6,250.00
15. TOTAL (sum of lines 13 and 14)	150,000.00	37,500.00	37,500.00	37,500.00	37,500.00	37,500.00
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT						
(a) Grant Program	FUTURE FUNDING PERIODS (Years)					
	(b) First	(c) Second	(d) Third	(e) Fourth		
16.	\$	\$	\$	\$	\$	\$
17.						
18.						
19.						
20. TOTAL (sum of lines 16-19)	\$	0.00	\$	0.00	\$	0.00
SECTION F - OTHER BUDGET INFORMATION						
21. Direct Charges:		22. Indirect Charges:				
23. Remarks:						

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683; and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	* TITLE Executive Director
* APPLICANT ORGANIZATION Arkansas Livestock & Poultry Commission	* DATE SUBMITTED 4/2/2010 <small>Completed in Arkansas by G. Allen, Jr.</small>

FY 2010
**COOPERATIVE AGREEMENT WORK PLAN
FOR ARKANSAS TO ADVANCE ANIMAL DISEASE TRACEABILITY**

Dates for this work plan:	April 1, 2010 to March 31, 2011
Plan Administrator:	Jon S. Fitch, Executive Director
Name of State, Tribal, or Territorial Government:	AR Livestock & Poultry Commission
Address:	#1 Natural Resources Drive – P O Box 8505
City, State, Zip:	Little Rock, AR 72215
Office Phone:	501-907-2400
Cell Phone:	479-841-9177
Fax:	501-907-2425
Email address (Plan Administrator):	jfitch@alpc.ar.gov

Additional State departments that have confirmed participating in the plan:

Dr. Roger Holley, AVIC
USDA APHIS, Veterinary Services
1200 Cherry Brook Drive, Ste 300
Little Rock, AR 72211

Adam McClung, Executive Vice President
AR Cattlemen's Association
310 Executive Court
Little Rock, AR 72205

Jerry Masters
AR Pork Producers Association
625 Buck Mountain Road
Dover, AR 72837

Dr. Terry Wollen
Heifer Project International
1 World Avenue
Little Rock, AR 72202

Dr. Tom Troxel
U of A Cooperative Extension Service
P. O. Box 391
Little Rock, AR 72203

Neal Anderson
Assistant State Conservationist
Room 3416 Federal Building
700 W. Capitol Ave
Little Rock, AR 72201

Roy Winfrey
AR Land & Farm Development
117 N Washington Street
Forrest City, AR 72355

Signature of Plan Administrator: _____

Date: _____

1 _____
RCAMS approved work plan printed 4/5/2010
Reviewed 04/05/2010 by Honstead, John

AVIC signature (first & last page) here =>

John Honstead
Roger D. Holley

OBJECTIVES AND NEED FOR THE ASSISTANCE

Minimum Objectives for application include

Enhance Arkansas's Voluntary Premises Identification System by continuing educational messages for all individuals, businesses, and organizations that focus on the benefit of advancing animal disease traceability to producers. The effective use of 30 currently employed and experienced livestock inspectors to contact individual producers in their assigned areas of the state with goal of informing and registering premises and advancing animal diseases traceability with the assistance of educational messages. Our plan includes effective use of existing outreach resources such as cooperative extension, state area veterinarian in charge (AVIC) office and state industry organizations, to stakeholders at all levels within Arkansas. Our outreach plan will be strengthened through our animal disease traceability coordination advisory committee representing all segments of the livestock industry to provide necessary input and guidance into registering more premises and solidifying disease traceability capabilities. The committee will meet at least quarterly or as often as necessary to ensure that goals and objectives remain targeted and are being achieved as effectively as possible. Members on the committee are as follows:

APHIS-AVIC – Dr. Roger Holley
Cattlemen's Association – Adam McClung
Pork Producers – Jerry Masters
Heifer Project – Dr. Terry Wollen
Extension Service – Dr. Tom Troxel
Natural Resources Commission – Neal Anderson
ALFDC-Minority – Roy Winfrey
AR Livestock & Poultry Commission Executive Director – Jon Fitch
AR Livestock & Poultry Commission Deputy Dewayne Kimbrell
Animal ID Coordinator (ARLPC) – Richard Jackson

Agency database manager will routinely update Animal Disease Traceability Website to ensure that a consistent and accurate Disease Traceability message is presented and is user-friendly. Quarterly updating will continue throughout the funding period.

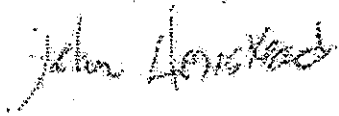
Our Quality Systems Assessment Program will continue to provide important incentives to registering more premises and enhancing traceability as producers who wish to participate will be required to register their premises and use compliant tags. We currently have 93 suppliers engaged in our QSA Program and expect that number to increase as producers experience increases in premiums received for age and source cattle being marketed. We believe market forces and industry needs will drive more producer participation. The QSA Program will continue to provide a basis and experience factor in officially identifying cattle enrolled in the program with compliant ear tags that can be used for tracing back to original premises and forward in interstate commerce. Official identification and traceability will continue to be enhanced as we have micro chipped 3,650 equine with plans to increase the number of chipped horses through the use of our field livestock inspection staff.

In addition, the Commission plans to initiate a preconditioned cattle program that will enhance marketing and help advance animal disease traceability as more premises will be required to register along with tagging of calves with compliant ear tags, which will enhance traceability capabilities.

The Commission's diligence in maintaining a strong brucellosis program will continue to provide traceability by testing all test eligible cattle at auction markets with cattle being tagged as well as vaccinating calves on the farm and at markets. Movement of these animals interstate is further documented through the use of tags that will be documented on health certificates.

Arkansas regulations for Brucellosis also require change of ownership testing and tagging cattle sold at private treaty or special sales which provide excellent traceability for movement within the state and interstate.

2 -----
RCAMS approved work plan printed 4/5/2010
Reviewed 04/05/2010 by Honstead, John



AVIC signature (first & last page) here ==>

Sheep and goats marketed in Arkansas require the use of Scrapie tags, which provide excellent traceability data for intrastate and interstate movements as well as requiring the same for sheep and goats going to exhibitions.

Swine marketed in Arkansas must be identified with an official premises identification by official ear tag, which provides excellent traceability both intrastate and interstate.

Poultry sold at swap meets and flea markets are required to have a negative Pullorum-Typhoid with proper documentation and identification on NPIP VS Form 9-2 or 9-3, which must accompany poultry moving interstate. This provides effective traceability information.

Financial assistance remains critical in our efforts to register all premises and enhance animal disease traceability both intra and interstate.

Our animal disease traceability coordinator will supervise our 30-member livestock inspection staff to ensure all facets of our traceability plan are carried out as effectively as possible. Supervision will include work site visitations. Traceability program progress reports will be provided to agency director and deputy director on a routine basis, including the agency's traceability coordination advisory committee.

Extensive outreach presentations will continue throughout the state. Our operating plan will continue as we contact individual producers throughout the state with a goal of informing and registering premises and communicating with producers about the value of an effective traceability program. Appropriate handouts will be delivered to veterinary medical associations and accredited veterinarians by the livestock inspectors for distribution to their clients regarding premises registration and traceability enhancements.

The agency will conduct educational seminars with the accredited veterinarians of Arkansas to explain their role and duties under traceability programs. These seminars should be held in conjunction with the AVIC and Federal VMO's of Arkansas. The accredited veterinarians will have to become familiar with disease traceability methods and procedures associated with intra and interstate movements.

1. "840" tags
2. Animal Identification Number Management System (AINMS)
3. AIN's distributed by the State for disease programs
4. AIN's distributed by the accredited veterinarians
5. AIN's in data capture systems

The accredited veterinarians will become familiar with the PIN assignment process in the cases where a PIN is required but the producer does not wish to participate in the Commission's traceability program.

The accredited veterinarian will have to become familiar with the administration of "Scope" and how to obtain a non-producer participate number (NPN) and the responsibilities of that role.

The veterinary seminars will be held in conjunction with other state association veterinary meetings and also regional meetings.

OVERVIEW OF ANIMAL TRACEABILITY IMPLEMENTATION ACCOMPLISHMENTS BY FUNDING YEAR FY 2005

Cooperative Agreement: 05-9705-1358-CA Amount: \$115,000

Accomplishments	Performance Measures	Outcomes
Engaged livestock organizations and Cooperative	Customer Awareness and satisfaction	Engaged six facilitating organizations

3 -----
RCAMS approved work plan printed 4/5/2010
Reviewed 04/05/2010 by Honstead, John

John Honstead

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Extension Service		
Provide exposure to 90% of the Arkansas livestock producers	5 to 10 regional organizational meetings by ACA and Extension Service and 75 producer awareness meetings	5 regional organization meetings and 75 producer meetings with average attendance of 50
Established website	Receive 1,200 to 1,700 hits per month	Average of 300 hits per month
Issued premises identification numbers to all registered premises	Register 20,000 cattle producers	4,698 premises registered

Cooperative Agreement: 05-9705-1398CA Amount: \$281,000

Accomplishments	Performance Measures	Outcomes
Engaged 30 livestock inspectors to contact and inform producers in their assigned areas	Broaden exposure to livestock producers	Delivered 34,000 Premises ID brochures
Initiated statewide advertising campaign	Increase exposure and number of premises registered	500 premises registered
Enhanced premises registration on ARLPC website	Communicate simplicity of registering on line and received 2,400 to 2,700 hits per month	Average of 400 hits per month
Implanted ID chips in horses and tracked movements	Encourage all interested and willing equine owners to participate in tracking phase of Animal Disease Traceability	600 horses were chipped and 167 more premises registered for a total of 4,865

Cooperative Agreement: 06-9705-1638-CA Amount \$203,000

Accomplishments	Performance Measures	Outcomes
Continued statewide advertising campaign	Radio, TV and magazine ads to spur more premises registrations	2,048 more premises registered for a total of 6,913 premises registered
Exposure to 90% of Arkansas's livestock producers	Enhance one on one producer contacts by 30 livestock inspectors	628 hits on agency website
Registered 18.4% of premises	Register 25% of premises by January, 2007	More producers informed about importance of registering their premises
Participation resulted in increased number of premises registered	Encourage more horse owners to participate in ID chipping and tracking movements	Approximately 2,400 more horses were micro chipped

FY 2007

Cooperative Agreement: 07-9705-1763-CA Amount \$249,300

Accomplishments	Performance Measures	Outcomes
Agency livestock inspectors	Explain and answer producer	655 more premises registered

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enhanced producer contacts	questions and encourage premises registration	for a total of 7,568 premises registered as of 12-4-07 20.10% of premises registered
More equine owners participated in premises registration	Increase the number of horses micro chipped	Approximately 500 more horses micro chipped and movements monitored
Incorporated USDA QSA program as tool to increase premises registration	Quality Systems Assessment Program to generate more premises registered	50 producers signed up for QSA program and registered their premises
Increased contacts with producers and associated and supportive industries	Continue to inform producers with consistent and correct premises information	Assisted producers in the completion of premises registration forms

FY 2008

Cooperative Agreement: 08-9705-1763-CA Amount \$174,500

Accomplishments	Performance Measures	Outcomes
Agency livestock inspectors enhanced producer contacts	Explain and answer producer questions and encourage premises registration	160 more premises registered for a total of 8,733 premises registered or 23.2% of estimated premises
More equine owners participated in premises registration	Increase the number of horses micro chipped	Approximately 100 more horses micro chipped
QSA Program provided a tool to increase premises registration	QSA Program to generate more premises registered	17 more producers signed up for QSA Program and registered their premises for a total of 79 suppliers with 13,402 head of cattle
Increased contacts with producers and associated and supportive industries	Continue to inform producers with consistent and correct premises information	Assisted producers in the completion of premises registration forms

FY 2009

Cooperative Agreement: 09-9705-1932-CA Amount: \$167,975

Accomplishments	Performance Measures	Outcome
Agency livestock inspectors enhanced producer contacts	Explain program and answer producer questions and encourage premises registration	462 more premises registered for a total of 9,527 premises registered or 25.3% of estimated premises
More equine owners participated in premises registration	Total horses micro chipped to date: 3,650. Increase the number of horses micro chipped	Approximately 78 more horses micro chipped
QSA Program provided a tool to increase premises registration and cattle were officially identified	QSA Program to generate more premises registered and more cattle official identified	15 more producers signed up for the QSA Program and registered their premises for a total of 93

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		suppliers with 17,473 head of cattle
Increased contacts with producers and associated and supportive industries	Continue to inform producers with consistent and correct premises information	Assisted producers in the completion of premises registration forms
Increased contacts with accredited veterinarians to explain their role in identifying premises and assisting in the use of AIN's for traceability purposes.	Continue to communicate PIN process in cases where PIN is required but producer does not wish to participate	AVIC, Federal VMOs and state inspectors maintained communications with accredited veterinarians to explain and encourage their participation in registering more premises and the assignment of PIN's to producers who wish to participate.

TRACEABILITY SYSTEM VISION

An effective traceability system begins with a highly trained field livestock inspection staff that is strategically located throughout the state. The Commission's 30-member inspection staff is trained in all facets of livestock and poultry diseases, including emergency response. Much of the foundation for traceability procedures are contained in our Brucellosis Program that remains intact despite being brucellosis free since 1997. Testing and individual tagging of livestock continues at all livestock markets and on farms, including calfhood vaccination. This experience has been put to effective use in all programs such as the Scrapie Program for sheep and goats; swine marketed requiring official ear tags, Quality Systems Assessment, and soon to be initiated Preconditioned Calf Program requiring tags and poultry sold at swap meets and flea markets with proper identification. The equine industry will be covered as we continue to microchip more horses. Our plan and vision is to maintain and grow all of the programs to provide critical data for traceability purposes.

CURRENT TRACING CAPABILITIES

The strength of our ability to effectively initiate and complete successful tracing lies in highly trained state and federal employees who have experience in rapidly assembling data on birth locations and movements within and out of the state. The Commission works in concert with officials from other states to gather necessary information and have employees assigned to quickly trace, locate, and take appropriate actions to quarantine, track, and test animals of concern. The traces that are done in Arkansas at the present time are done through the generic database (GDB) by USDA, Veterinary Services in Arkansas. Veterinary Services was able to trace a bull this week to the herd of origin in 2-3 days. Veterinary Services employees are usually able to find a tag number in the database in a reasonable amount of time. We file health certificates as they come in by the state of origin and by year. We are able to find most health certificates within a reasonable amount of time, but because it is a paper system it is cumbersome. When we supply tags (pass tags or brucellosis tags) to the veterinarians in Arkansas or to our livestock inspectors, we tie those tag numbers to the recipient. The same is true for other forms (EIA test charts, vaccination, and certificates of veterinary inspection (CVI's)).

John Honstead

The state of Arkansas does not have any type of computer program nor do we have a server to trace animals within the state. I do believe that we could set up a system with an adequate amount of financial help. We would need a server and some small hand-held computers to put in the sale barns. The system that we have in place in Arkansas at the present time is a paper system. We have livestock and poultry personnel in all of the federally approved livestock markets. ARLPC is still doing first-point-testing and vaccination at the livestock markets. This allows us to read all the pass (Brite) tags and record them. Also, we vaccinate all the heifers and their tags are recorded. All of the test charts and vaccination charts are sent to the federal office to be entered into the GDB. For our livestock inspectors to identify steers in addition to the heifers and sexually intact animals would be workable. However, to be able to trace those tags within Arkansas, computer help would be desperately needed. All sheep and goats are identified with Scrapie tags before entering the federally approved markets. Swine are identified with premises type swine tags (USDA) and also are required to be tested for pseudorabies and brucellosis. The trick would be to enter that data into a computer program where we could trace the animals back to their origin. We are already identifying and recording the ID for most breeding animals (cattle) in Arkansas that are sold at federally approved livestock markets. That is probably 60-75% of that number.

We think that we can identify all the livestock (not horses) in Arkansas with Brite tags, brucellosis tags, and brucellosis vaccination tags that are going into interstate movement, but the CA money for computer hardware and also IT personnel would be needed. It is possible that we could distribute Brite tags to producers in the future and tie those tags to the producer, but to start we would like to try to tag the animals with the livestock inspectors that we already have on staff. Our livestock inspectors also vaccinate heifers for brucellosis on the farm and we could probably tag steers at the same time and put the numbers on another chart to be added to the new computer system.

These are the basic ideas that the Arkansas Livestock and Poultry Commission has put together for traceability in Arkansas.

ENHANCEMENT OF ELECTRONIC ACCESS

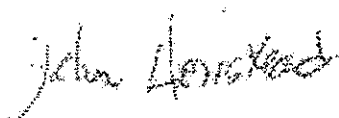
Agency goal is to continue enhancements to electronic access by state and federal animal health officials by incorporating, on a large scale, brucellosis testing and vaccination program that remain totally intact. Electronic capabilities of agency and federal counterparts will continue to be coordinated to provide more rapid responses to all disease occurrences throughout the state. Appropriate agency funding will be dedicated to obtain the necessary electronic equipment.

LEGAL LIMITATIONS OR CONSTRAINTS

To date, no legal limitations or constraints have been imposed upon the agency regarding the sharing of standardized animal disease traceability between and among states, tribes, or territories. Likewise, no legal limitations or constraints have been imposed on the ability to advance animal disease traceability using available federal standards.

RESULTS OR BENEFITS EXPECTED

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Emphasis will be on customer service as we continue our outreach efforts. We expect our premises registration project to provide exposure to 90% of Arkansas's livestock producers. We expect hits on the ARLPC website to continue to increase as we work hard to make it easy and appealing to producers. We expect to meet a goal of registering 30% of our premises by March 31, 2011. We plan to build upon all our successes realized to date and capitalize on our extensive outreach network to register more premises. If successful, we will continue to create comfort levels in the consuming public and producers, which will positively affect well-being of all livestock industries in our state. Rapid response and recovery will be greatly enhanced as we register more premises and use our current brucellosis testing in market, brucellosis calfhood vaccination, Scrapie tags for sheep and goats, and swine marketing I.D.s programs requiring tags. Preconditioned Calf Program requiring tags and poultry sold at swap meets and flea markets requiring VS Forms 9- or 9-3 when moving interstate will all enhance traceability.

APPROACH

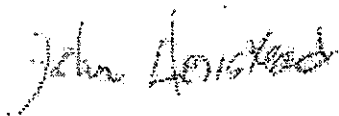
Agency will continue to engage a highly trained field inspection team to advance premises registration and take full advantage of current programs that require identification with tags on official state and federal forms. Livestock and poultry that travel on intrastate and interstate programs that provide traceability information is Brucellosis, Scrapie, QSA, Preconditioned, Swine Health, and poultry sold at swap meets and flea markets. These effectively operating programs will all be valuable in maintaining and enhancing traceability capabilities. The Commission will continue to engage AVIC, VMOs, and Animal Health Technicians to partner in voluntary premises registration and traceability activities associated with all state disease control and eradication programs. The Commission's field staff will continue their contacts with auction markets, veterinary clinics, accredited veterinarians, feed stores, etc. with the intent to communicate our goal of enhancing premises registration and traceability of animals moving within and out of the state.

ARLPC will continue to initiate various other measures to increase information and voluntary premises registration to include the following:

1. Field inspectors will make brucellosis calf hood vaccination participants aware of premises registration. Premises ID forms and free mailing envelopes will be given to producers.
2. Booths will be set up at livestock industry meetings and conventions to promote premises registration.
3. Reach more dairy farmers via Animal Disease Traceability Program mail-outs with effort to come to meetings to explain voluntary premises registration.
4. Engage AVIC, VMOs and Animal Health Technicians in providing uniform voluntary premises registration message via voluntary premises registration producer meetings and individual producer contacts while performing assigned program work.

ARLPC's message will remain the same as we provide all individuals, businesses, and organizations a correct and consistent message about voluntary premises registration.

The Arkansas Livestock and Poultry Commission will take full advantage of traceability



information contained in brucellosis testing and vaccination, Scrapie tags in sheep and goats, swine marketed in Arkansas that require tags and the requirement for poultry sold at swap meets and flea markets to be Pullorum-Typhoid tested and identified on a VS 9-2 or 9-3.

PLAN OF ACTION

Continue an aggressive plan for education and outreach that partner with all stakeholders at all levels within the state to provide all individuals, businesses, and organizations a correct and consistent message about voluntary premises registration and associated traceability of animals moving intrastate and interstate. Outreach resources that will be engaged are Arkansas Cattlemen's Association, Arkansas Farm Bureau, Arkansas Pork Producers Association, sheep and goat associations, Arkansas Auction Market Association, the fair industry, minority organizations, and the AVIC's office. All will be asked to assist in meeting our goal of registering 30% of our premises by March 31, 2011. We hope to achieve an increase in premises registered, more hits on our website, and more individual phone calls asking more about how to register. Traceability of animals being moved will be enhanced as the agency takes full advantage of current programs that specify tagging for movement within and out of state.

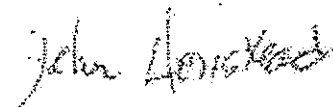
Our plan of attending all auction markets on sale day and keep providing consistent and correct information about premises registration and traceability. During market testing activities all animals will be officially identified and recorded to assure traceability of marketed animals. Our plan of covering all county, district and state fairs with the goal of registering more premises will be successful as we stress the importance in terms of preparation, response and recovery should a foreign animal disease or domestic disease of concern reach our state. Stakeholders will continue to work as meeting organizers and information disseminators for outreach efforts.

We will continue to encourage cooperative extension agents to be proactive in helping us to reach our goal of 30% premises registration by March 31, 2011. These same agents are present at almost every agriculture meeting in their communities, whereby the distribution of voluntary premises registration tri-folds designed by their organization would be handed out and explained.

DETAIL OF WORK TO BE ACCOMPLISHED

Reducing the Traceability Risk of Insufficient Premises Identification

Concentration will be on registering more premises to meet benchmarks for progress with the immediate goal of 30% premises registered by March 31, 2011 and create positive momentum for obtaining 40% premises registered by March 31, 2011. We believe all of the enhanced measures contained in our fund proposal will be effective in allowing us to meet established milestones. We believe our QSA program and pre-conditioned cattle program will be a driver for achieving a successful level of premises registration as well as enhancing traceability with program identification tools. Market forces and industry needs will help to move producers to participate in registering their premises voluntarily. Our current voluntary premises registration and horse chipping and tracking campaign, QSA and pre-conditioned cattle program will remain in place as producers are more favorable to this approach. We currently have 25.3% of our estimated premises registered to date for a total of 9,527 premises. Participation has not been at the level we hoped, but we will continue to solicit their participation. We have worked hard at making our volunteer registration program producer-friendly with the least amount of paperwork and a quick processing time for getting premises numbers back to producers. Good producer experiences with voluntary premises registration will be essential to continued success, a



measure that we work on every day. Livestock inspectors will continue to use and record official traceability tools while accomplishing testing assignments at the Arkansas approved livestock markets.

HOW ACCOMPLISHMENTS WILL BE ACHIEVED

Animal Disease Traceability Advisory Committee

The following stakeholders continue to work as information disseminators for outreach efforts. These organizations will continue to schedule meeting dates, times and facilities throughout the state by working with their membership networks. They will also be asked to communicate to their memberships through individual invitations, newsletters, magazines, county and statewide meetings, and conventions about voluntary premises registration and traceability procedures scheduled throughout Arkansas to facilitate and encourage attendance.

1. Producer organizations: Arkansas Cattlemen's Association, Arkansas Farm Bureau, Arkansas Pork Producer's Association, sheep and goat organizations, and the fair industry.
2. We will continue to engage the University of Arkansas Cooperative Extension Service to organize outreach events and assist in the voluntary premises registration of all livestock producer entities and explain the ultimate goal of achieving and maintaining an effective traceability program through present and new programs that provide solid traceability data.
3. Auction markets, feed stores, accredited veterinarians, veterinary clinics, agricultural supply stores and others will continue to play an important role in registering more premises and explaining the ultimate goal of tracing the movement of livestock and poultry both intrastate and interstate. Official I.D. will be utilized and recorded at all approved Arkansas livestock markets to ensure traceability of marketed animals.

We plan to continue to encourage all county producer organizations to make premises registration and the traceability program a topic at their monthly meetings throughout the state.

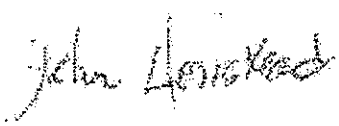
Industry Focus

Our premises registration program will encompass all species of livestock with an estimated number of potential premises being as follows:

<u>Cattle</u>	<u>Swine</u>	<u>Sheep</u>	<u>Goats</u>	<u>Equine</u>	<u>Camelids</u>
29,925	900	556	1,388	12,844	225

Corresponding estimated numbers of animals are as follows:

<u>Cattle</u>	<u>Swine</u>	<u>Sheep</u>	<u>Goats</u>	<u>Equine</u>	<u>Camelids</u>
1,900,000	330,000	13,797	32,580	75,203	1,100



All supportive resources available will be effectively used in educating the livestock industry, including all sectors of production and marketing. An estimated number participating from each are as follows:

<u>Producers</u>	<u>Markets</u>	<u>Packing Plants</u>
45,613	47	26

Communication Plan

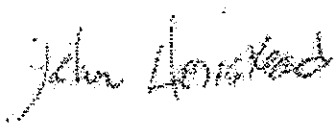
Our plan will be an extension of our efforts to reach and register all producers throughout the state, which is tailored to support outreach efforts by USDA, APHIS. Industry meetings will continue covering the entire state and these efforts will be enhanced as we target livestock producers who have not been exposed to voluntary premises registration or are not sure or not totally informed or clear about their role in the national effort. 30-member livestock inspection team will be making continuous contacts at farms, markets and fairs, and industry monthly meetings to keep the message in front of producers continuously. Sufficient education and outreach will be enhanced to make sure all producers and stakeholders receive the uniform voluntary premises registration message and enhanced traceability data that will be available in the event of a disease occurrence.

The major tool to gain feedback is the number of registered livestock premises in Arkansas, as well as feedback from livestock producers at industry meetings and individual agency contacts to determine customer concerns about premises registration and how ensuing traceability program can provide rapid disease response and eradication. All successful avenues as well as any unsuccessful efforts will be shared with USDA, APHIS.

Getting the message to livestock producers will involve all current outreach activities. By assigning 30 currently employed ARLPC livestock inspection personnel to contact, educate, and register all known producers in all segments of the state. Contacts will include coverage of livestock markets, feed stores, fairs, and accredited veterinarians. Personal contacts will be designed to achieve comfort levels that lead producers to register premises and partner with ARLPC and USDA APHIS in preventing, controlling, and eradicating diseases of livestock and poultry.

Animal Disease Traceability Outreach Plan

Our continued intense, individual producer contacts involving all livestock segments will be designed to reach 45,613 stakeholders regarding premises registration. Our educational efforts will also include contacting associated groups/organizations throughout the state, including livestock markets, feed stores and veterinarians, etc.—estimated to be over 650. Our goal will be to reach 90% of these supporting entities. We will continue to measure customer satisfaction and volume of registrations. The resulting product should be basic, responsive, and economical. ARLPC will continue to carry out a system that will not jeopardize proprietary information. Throughout the year, livestock producers will have the opportunity to engage in a face-to-face meeting or an online description. ARLPC expects the following results from its outreach proposal. Hits on the web page attached to the ARLPC website will be measured. The expected web hits will be 2,400-2,700 monthly. The resulting exposure to premises registration from the ARLPC website will be 28,800-40,800 annually. Distribution of brochures outlining the program will be facilitated through existing information centers located in livestock markets, veterinary clinics and cooperation extension offices throughout the state. Printed material will also be distributed at meetings, individual producer contacts and trade shows. We anticipate printing and delivering 34,000 brochures to livestock producers over the course of the year. Producer awareness meetings and statewide producer contacts by our 30-member livestock inspection team will provide the greatest exposure to premises registration and the importance of enhancing disease traceability



capabilities. Our goal is to register 30% of Arkansas's livestock producers by March 31, 2011. Premises updates will occur as necessary, especially when all Arkansas counties convert to 911 addresses.

Placing customer satisfaction as a top priority remains critical to the success of premises registration. Acceptance will be improved with a good experience during our premises registration campaign and our effective explanation as to the value of having data to enhance traceability capabilities in the event of a disease outbreak.

Strategy #1:

Arkansas's approach to implementing animal disease traceability is through USDA's Quality Systems Assessment Program that has been in operation for more than 3 years that currently has 93 cattle suppliers with 17,473 head of cattle entered and operating under the program. The cattle are officially identified to birth premises. It is providing an excellent experience to producers who are participating as they are able to understand the process of identifying and tracking animals on a voluntary basis. We firmly believe market forces and industry needs will drive more producer participation, which will enhance more premises registered as well as the number of cattle being officially identified. We believe this program will lead our state to achieving critical mass. Also, the Commission will be initiating a cattle pre-conditioned program that will enhance premises registration and individually identifying cattle that participate.

We feel that official identification and traceability of equine will continue as we have micro-chipped more than 3,630 equine that can be tracked for sales, equine events, and provide proof of ownership. Its value will continue to grow as equine owners see its value, again demonstrating the benefits.

Strategy #2: Partner with States, Tribes, and Territories

A major thrust will be to reach producers who have not been exposed to and informed about voluntary premises registration and traceability, including its purpose and its benefits to all livestock industry components.

A member of our agency Animal Disease Traceability Coordination Advisory Committee, Roy Winfrey of ALFDC, will represent areas with populations of underserved producers. This gentleman will be enlisted to increase efforts in reaching those producers in our state.

RESOURCES REQUIRED

Number and Type of Personnel

- 1 – Animal traceability program coordinator—currently employed
- 1 – Database manager—currently employed
- 30 – Livestock Inspectors—currently employed

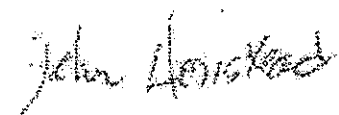
Equipment Needed

None.

Travel Needs

For presentation of outreach and individual producer contacts.

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ACCOMPLISHMENTS TO BE ACHIEVED

Registration of 30% of all livestock premises by March 31, 2011 and effectively using programs such as Brucellosis, Scrapie, QSA, preconditioned cattle sales, swine health and poultry disease programs will be the goal of project as we incorporate extensive efforts to reach all Arkansas producers and educate them about premises registration and traceability features and its benefits. Our premises registration campaign will involve additional resources needed to touch all producers and encourage and lead them to register premises. Accomplishment reports will reflect if goal listed above is being achieved.

Performance Measures and Outcomes:

We believe that animal disease traceability will continue to be driven by market demands. Producer participation will continue to increase as premiums are realized in the sale of cattle under our QSA and pre-conditioned cattle sales programs that are or will be functioning well in our state. Participation increases will prepare producers to see the need for animal disease traceability and make it easier to harmonize the QSA program and pre-conditioned cattle sales program, thereby providing an avenue for more premises to be registered and improvement in the number of animals officially ID'ed.

Quarterly reports will be submitted in accordance with format contained in template to reflect if planned accomplishments are being met.

2010 FUNDING –ANIMAL DISEASE TRACEABILITY PROGRAM

BUDGET

	FEDERAL	STATE MATCH	TOTAL
Personnel: Staff resources needed to support premises ID and animal movements			
Animal Disease Traceability Program Coordinator		13,312	13,312
Database Manager		8,128	8,128
30 Livestock Inspectors \$961,960	85,198		85,198
TOTAL PERSONAL SERVICE	85,198	21,440	106,638
Fringe Benefit 85,198 @ 27%	23,003		23,003
Travel		3,560	3,560
Presentation of Outreach & Individual Contacts	16,799		16,799
TOTAL PROJECT COST	125,000	25,000	150,000

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John Honstead
Roger D. Holly, DVM

XV. Appendix A: Accomplishment Report Summary

COOPERATIVE AGREEMENT ACCOMPLISHMENT PLAN AND REPORT

Program: Animal Disease Traceability

Quarter Completed:		Report Date:	Contact Person:																														
<table border="1"> <thead> <tr> <th>Activity</th> <th>Planned Accomplishment- <small>List Specific Performance Measures</small></th> <th>Performance Measures -Achievements-</th> <th>If Objectives Have Not Been Met, Explain Below:</th> <th>Work Site Visited Dates:</th> </tr> </thead> <tbody> <tr> <td>Activity 1</td> <td>Agency Livestock Inspectors will enhance producer contacts about voluntary premises registration and explain benefits of an effective traceability program</td> <td>Measurable Outcomes 10% increase in premises registered and a 25% increase in producers participating in QSA and pre-conditioned cattle programs</td> <td></td> <td></td> </tr> <tr> <td>Activity 2</td> <td>Engage more equine owners into micro chipping for ownership and traceability enhancements</td> <td>Measurable Outcomes 10% increase in number of horses micro chipped</td> <td></td> <td></td> </tr> <tr> <td>Activity 3</td> <td>Engage more cattle into the QSA and preconditioned cattle sales to increase premises registration and the number of cattle effectively identified for tracing purposes</td> <td>15% increase in the number of cattle officially identified and effective traceability that will result</td> <td></td> <td></td> </tr> <tr> <td>Activity 4</td> <td>Increase contacts with accredited veterinarians to explain their role in identifying premises and assisting in the use of AINs for traceability purposes</td> <td>Increased communications with accredited veterinarians with goal of increasing premises registered and animals officially tagged by 10%</td> <td></td> <td></td> </tr> <tr> <td>Activity 5</td> <td>All approved Arkansas livestock markets will be inspected by ARLPC inspectors and official I.D. will be used and recorded to ensure traceability of marketed animals</td> <td>All approved markets will be inspected by ARLPC livestock inspectors during each sale event</td> <td></td> <td></td> </tr> </tbody> </table>				Activity	Planned Accomplishment- <small>List Specific Performance Measures</small>	Performance Measures -Achievements-	If Objectives Have Not Been Met, Explain Below:	Work Site Visited Dates:	Activity 1	Agency Livestock Inspectors will enhance producer contacts about voluntary premises registration and explain benefits of an effective traceability program	Measurable Outcomes 10% increase in premises registered and a 25% increase in producers participating in QSA and pre-conditioned cattle programs			Activity 2	Engage more equine owners into micro chipping for ownership and traceability enhancements	Measurable Outcomes 10% increase in number of horses micro chipped			Activity 3	Engage more cattle into the QSA and preconditioned cattle sales to increase premises registration and the number of cattle effectively identified for tracing purposes	15% increase in the number of cattle officially identified and effective traceability that will result			Activity 4	Increase contacts with accredited veterinarians to explain their role in identifying premises and assisting in the use of AINs for traceability purposes	Increased communications with accredited veterinarians with goal of increasing premises registered and animals officially tagged by 10%			Activity 5	All approved Arkansas livestock markets will be inspected by ARLPC inspectors and official I.D. will be used and recorded to ensure traceability of marketed animals	All approved markets will be inspected by ARLPC livestock inspectors during each sale event		
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John Honstead

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(REQUIRED BY STATE AGENCIES ONLY)

**STATE CLEARINGHOUSE
APPLICATION SUPPLEMENT**

(Form CH 2)

1. If this is a "NOTIFICATION OF INTENT" to apply or a Pre-Application, Please Check this box ☐ and indicate GRANT I. D. assigned.
GRANT I. D. AR021065 (8)
2. If this is an actual GRANT APPLICATION, please check this box ☐ , and indicate GRANT I. D. assigned. GRANT I. D. _____ (8)
*NOTE: If a NOTIFICATION OF INTENT or PREAPPLICATION has been previously submitted, use that I. D. to complete ITEM 2 and indicate SAI# that was assigned to the NOI or PREAPP.
SAI # _____ (8)
3. If this is an application for SUPPLEMENTAL FUNDS or is a REVISION, please indicate original GRANT I. D. AND SAI # to which it applies.
GRANT I. D. _____ (8) SAI # _____ (8)
4. GRANT YEAR 2010
5. GRANT START DATE 4/1/2010 GRANT END DATE 3/31/2011 (mo./day/yr.)
6. APPLICANT (AGENCY) CODE 0400 (7) (see Applicant Code List)
7. GRANTOR CODE _____ (5) (see Grantor Code List)
8. ORGANIZATION UNIT USDA APHIS Veterinary Services
9. FUNDING PERCENTAGE REQUIREMENTS:
FEDERAL 83 % STATE 17 % OTHER _____ %
10. TYPE OF ASSISTANCE (A THROUGH P) _____ (see instructions on previous page)
11. METHOD OF FUNDING 1
1. Advance by Treasury Check 2. Reimbursement by Treasury Check
3. Advance by Letter of Credit 4. Reimbursement by Letter of Credit
12. Federal Funds for this GRANT will be received directly from (CHECK ONE)
☒ A Federal Agency ☐ Another State Agency ☐ Other Source
**If source is Other please specify _____
13. Do you have an INDIRECT COST RATE? ☐ YES ☐ NO
14. If Yes, is the rate being applied to this project? ☐ YES ☐ NO

15. A. DIRECT COST BASE \$ _____	B. INDIRECT COST RATE _____ %	C. INDIRECT COSTS CLAIMED* \$ _____
D. EXPLANATION* _____		

GRANT COORDINATOR Jon S. Fitch
Full Name

AGENCY AR Livestock & Poultry Commission

DATE 4/2/2010

Revised
3/5/07

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreement:

The undersigned certified, to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Arkansas Livestock & Poultry Commission
Organization Name

Animal Disease Traceability
PR/Award or Project

Jon S. Fitch, Executive Director
Name and Title of Authorized Representative


Signature

4/2/2010
Date

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance		2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award		3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:		
6. Federal Department/Agency: USDA, APHIS, Veterinary Services			7. Federal Program Name/Description: Animal Disease Traceability CFDA Number, if applicable: 10-025		
8. Federal Action Number, if known:			9. Award Amount, if known: \$		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): Lobbying entity will not be engaged or contracted			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure, shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: <u>Jon S. Fitch</u> Print Name: <u>Jon S. Fitch</u> Title: <u>Executive Director</u> Telephone No.: <u>501-907-2400</u> Date: <u>4/2/2010</u>		
Federal Use Only:				Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

SUPPLEMENTAL COOPERATOR INFORMATION SHEET

Additional information needed to fulfill FFATA requirements.

Cooperator Name: Arkansas Livestock & Poultry Commission		Agreement Number:
Parent DUNS Number: 002563695	Primary Performance Street Address: P O Box 8505, #1 Natural Resources Drive	
Primary Performance City: Little Rock		Primary Performance State: AR
Primary Performance Zip: 72215	County of Primary Performance: Pulaski	Primary Performance Country: USA
Performance in Multiple States: <input type="checkbox"/> Yes or <input checked="" type="checkbox"/> No		Performance in Multiple Counties: <input checked="" type="checkbox"/> Yes or <input type="checkbox"/> No
Comments:		

PRE-AWARD REQUEST LETTER

Authorized Departmental Officer
USDA, Animal and Plant Health Inspection Service
Veterinary Services
2150 Centre Avenue, Building B
Fort Collins, CO 80526

March 31, 2010

Dear Dr. McCluskey,

14
Your concurrence is requested to allow pre-award costs for the Animal Disease Traceability for Fiscal Year 2010 in an amount no greater than 25% of last year's Cooperative Agreement amount. It is necessary to incur Pre-Award Costs to maintain uninterrupted traceability activities. Office of Management and Budget Circular A-87 Attachment B, Section 31, defines pre-award costs as those costs incurred prior to the effective date of the award, which are necessary and allowable only to the extent that they would have been allowable if incurred after the date of the award and only with the written approval of the awarding agency. Attached is a breakdown of our projected expenditures during the Pre-Award period.

I understand that Pre-Award costs will be paid contingent upon the passage by Congress of an appropriation from which expenditures may be legally met, and a formal Cooperative Agreement being submitted and approved. I further understand the ensuing Agreement may be reduced or terminated if Congress fails to appropriate funds, or only provides APHIS funds for a finite period under a Continuing Resolution.

I request that Pre-Award Costs be permitted beginning April 1, 2010, subject to continuation in writing, if necessary, by mutual agreement of the parties.

I agree to manage the Animal Disease Traceability program funded by Federal money in accordance with Title 7 Code of Federal Regulations, Part 3016, the associated Office of Management and Budget (OMB) Circular governing costs allowed; and all other applicable laws, regulations, and guidelines.

Sincerely,

Jon S. Fitch

Jon S. Fitch, Executive Director-AR Livestock & Poultry Commission

Concurrences:

Robert D. Holley
ADODR

3/31/10
Date

Yvonne K. Krum
Regional EPI Cooperative Agreement Specialist

4/1/2010
Date

John T. Souders
Regional Cooperative Agreement Specialist

4/1/10
Date

EPI

APR 01 2010



Approval:

[Signature]
Director, Western Region, APHIS ADO

Date

PRE-AWARD COSTS

Salaries 30 Livestock Inspectors	\$21,300
Benefits : \$5,750	
Travel : \$4,200	
Equipment :	
Supplies :	
Other:	
Total Pre-Award Project Costs \$31,250	

USDA-APHIS Justification for Non-Competitive Federal Assistance		INSTRUCTIONS: Prepare in triplicate. Send the original to your Departmental Officer (Regional Directors; Directors of NPPS; Director and Assistant Deputy Administrator, AHP) Send one copy to the Budget and Accounting Division, retain one copy for your files.	
RECOMMENDATION (negotiate only with the following) Arkansas Livestock and Poultry Commission			
For (description of supply or service) Animal Disease Traceability Cooperative Agreement		AGREEMENT/GRANT NO. 10-9705-2011-CA	ESTIMATED COST \$125,000
Before requesting this non-competitive Federal Assistance, the following factors were considered and the following responses and narrative justification are provided to support this request. For each item, "X" one column only indicating yes or no. Provide narrative responses for all "Yes" answers and attach to this form. Cite item number.			YES NO
1. Is the item a patented, copyrighted or licensed item? If so, how is this material to your justification?			X
2. Does the applicant have a capability which is important to the specific effort and one that makes him clearly more desirable than another firm in the same general field? Explain.			X
3. Does the applicant possess prior experience, of a highly specialized nature, not possessed by others, which is vital to the proposed effort? How was this determined? Describe specialized experience.			X
4. Does the proposed applicant have personnel considered predominant experts in the particular field? In what respect? How was this determined?			X
5. Does the applicant have facilities and test equipment which are specialized and vital to the effort? Describe.			X
6. Does the applicant have a substantial investment of some kind which would have to be duplicated at Government expense by another firm entering the field? Explain.			X
7. If schedules are involved and are critical, can the selected applicant best meet them? Explain.			X
8. If lack of drawings or specifications are guiding factors, is the proposed applicant best able to perform under these conditions? Explain.			X
9. Is the effort a continuation of a previous effort performed by the proposed applicant? Explain.			X
10. Are there any unique factors to be considered? Describe.			X
11. Has this item or service been awarded previously? If so, what prior steps were taken to make this assistance award a competitive one?			X
12. Does this request represent an acceptance of an unsolicited proposal? If affirmative, how was proprietary information treated? What factors preclude competitive solicitations for same or similar results?			X
13. WHAT STEPS ARE TO BE TAKEN (data, tooling, etc.) IN THIS AWARD TO INSURE THAT THE NEXT ASSISTANCE AWARD (if any) WILL BE PLACED ON A COMPETITIVE BASIS. The Arkansas Livestock and Poultry Commission has a highly trained and experienced Livestock inspector staff to meet the goals and objectives of this program. Animal Disease Traceability Program is to be run by the State of Arkansas.			
14. CERTIFICATION <i>I hereby certify that to the best of my knowledge, no other item/service will reasonably substitute for the requested item or service.</i>			
NAME AND TITLE OF REQUISITIONER (type or print) Roger D. Holley, AVIC		SIGNATURE 	DATE SIGNED 4/5/2010
<input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> DO NOT CONCUR	NAME AND TITLE OF APPROVING OFFICIAL (type or print) Alfred W. D. VS.	SIGNATURE 	DATE SIGNED 4/8/10

**DECISION MEMO FOR OUTGOING FUNDS
FISCAL YEAR 2010 PENDING AWARDS**

Agreement NO.: (if assigned) and type: Animal Disease Traceability

10 9705-2011-04

Cooperator Name: Arkansas Livestock and Poultry Commission

Funding Level: \$125,000

1. What is the purpose of the agreement? The purpose is to continue the efforts to increase the registration of livestock and poultry premises in AR, and to increase the traceability of poultry and livestock within the state by establishing an electronic record system for individual animal traceability.
2. How does the purpose/agreement align with the agency's and Secretary's priorities? USDA Goals # 1,2,4,,6 VS Goals #1,2,3,4,5
3. How is the agreement accomplishing its activities to achieve the results as stated in the agreement? First Point of Testing and mandatory calfhood vaccination the exist in AR regulations will make it possible to verify traceability of a large per cent age of cattle in AR. It is going to be necessary for the state to be supported by funding to put in place hardware for computer data base establishment and management for the records to be available for tracing. The new direction for traceability will be implements this year to the extent that it is funded. The long-term plans is going to an electronic based data base of animal tracing instead of the paper records presently being used at the markets and in the field by the ARLPC livestock inspectors.
4. Is the same amount of funding needed this year as last year to accomplish the goals in the agreement? No, the funding that is currently available is considerably less than will be needed to support the electronic data capture and storage.
5. What performance information do you use to track accomplishments? As ADODR, I will monitor the monthly progress of the electronic records that will be initiated for the program throughout the funding cycle and remain active on the State Committee for Animal Traceability.

SIGNATURES:

Submitting officials:

ADODR:

Roya D. Holby DVM

Agreements Specialist:

Jennifer G. James 3/30/2011

Approving official:

Deputy Administrator (or designee):

[Signature]

MAR 30 2010

Upon final signature, provide a copy of this approval to your agreements specialist for inclusion in the official file and the APHIS Cost Management System.