

National Animal Identification System (NAIS) Implementation

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0259. The time required to complete this information collection is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

WORK PLAN FORMAT

Cover Page

Project Administrator: Colleen M. O'Keefe, D.V.M., M.S.

Name of State or Tribal Government: Illinois Department of Agriculture

Address: 801 E. Sangamon Ave.

City, State, Zip: Springfield, IL 62749-9281

Office Phone: 217-785-5680

Cell Phone: 217-836-4481

Fax: 217-558-6033

Email address (Project Administrator): cokeefe@agr.state.il.us

List additional State departments, Tribes, etc. that have confirmed participating in the project (complete name, address, etc.)

These funds may only be used for the routine implementation of the NAIS. Special field trials and research projects which attempt to address problems or questions about NAIS implementation must be funded through a separate application to the announcement specifically for that funding. Routine implementation includes premises identification, animal identification and tracking of animal movements that are collected for registration in the national repository.

Signature of Project Administrator: _____

Colleen M. O'Keefe

Date: _____

8/5/05

Please complete each section explained on the following pages and maintain the format on your application. Section V, "Application Review Information" provides more details on the information requested and how it will be used to evaluate applications for funding.

Objectives and Need for the Assistance

With the issuance of the NAIS strategic plan issued in April 2005 it is obvious - the goal of 48-hour trace back of any animal in the US can only be implemented by having all premises uniformly identified. This will allow all states and agencies to manage emergency data to perform the necessary trace backs. The Illinois Department of Agriculture (IDOA) through the assistance of the initial USDA NAIS cooperative agreement is registering premises throughout the state and done a massive educational program to producers and commodity groups state wide on the necessity of premise ID and how it will fit in the overall animal ID plan. The State of Illinois is experiencing a major budgetary challenge and at present is under some fiscal constraints. In the recent FY 06 budget that was passed for Illinois, it appears all state agencies will be asked to take a 2% cut in General Revenue Funds. The reduction makes it imperative to work with other cooperators to enhance the remainder of the Department of Agriculture's budget.

Results or Benefits Expected

As producers are educated on the benefits of the premise ID program, the necessity of having a uniform premise ID and necessity of this ID to move on to animal ID, IL will move farther along in the goal of having all premises with animals present registered in the State. Without all premises nationally registered the goal of 48-hour trace back will fail. The continuation of this project will insure IL premises are part of the database. The stakeholders in IL will be protected from potential disease spread through the rapid detection of economically devastating disease and the ability to quickly return to normal animal movements and trade.

Approach

I. Outline a Plan of Action

Illinois does not have a mandatory requirement for premise ID, so to obtain the maximum number of premises registered it is necessary for IDOA staff to continue to attend as many producer, commodity and stakeholder meetings as possible to provide necessary information and to register participants on the site. IDOA, the stakeholder partners, and extension service will attend meetings identified during the previous cooperative agreement and new meetings as identified. Using the NAAS lists, new mailings will be sent out to target stakeholders. Informational radio commercials will be used to target counties with large livestock populations and low premise ID numbers. IDOA and Illinois Department of Public Health (IDPH) field staff will begin to acquire GPS information on sites during routine visits. Information gathered for the GPS

mapping of agriculture resources in the State of Illinois will be included in this project.

II. Detail work to be accomplished.

A. Indicate what components of the NAIS will be addressed within this project and explain how the components will be implemented through the project.

1. Premises Identification (required)

a) The Standard system will be used.

b) Stakeholders may register on line, at meetings or mail in applications, which will be entered for them by the project manager.

c) IDOA does not plan to enroll existing databases into the premise ID system with the exception of the federal Scrapie program. As new databases are created (GPS project, Dairy registration) these will be enrolled in the Premise ID system.

d) Explain how this work will support eventual registration of all premises in the area: IL will not have complete compliance until premise ID is state or federally mandated. So all education and outreach programs are important to obtain voluntary compliance.

B. Stakeholder Participation

Please provide a list of the organizations involved in the project and explain their role/involvement:

1. Producer, processor/marketing organizations

Primary Commodity Groups/Ag Organizations Involved

Horsemen's Council of Illinois

Illinois Association of County Fairs

Illinois Beef Association

Illinois Farm Bureau

Illinois Milk Producers Association

Illinois Pork Producers Association

Illinois Sheep Industry

Illinois Thoroughbred Breeders & Owners

Land of Lincoln Purebred Livestock Breeders Association

Other state livestock breed associations (Illinois Angus Association, etc...)

Secondary Commodity Groups/Ag Organizations

Illinois Corn Growers Association

2. Government

State

Illinois Department of Public Health

Illinois Terrorism Task Force

Federal

Centers for Disease Control

USDA-APHIS, VS

USDA-FSA

Department of Homeland Security

3. Academia and/or Extension

- University of Illinois
 - College of Agricultural, Consumer and Environmental Sciences
 - College of Veterinary Medicine

- Illinois State University
- Southern Illinois University
- Western Illinois University
- John Wood Community College (swine farm)
- University of Illinois Extension

4. Service providers (companies that provide services to producers)

- Feed Manufacturing Facilities
- Veterinary Supply Companies
- Local veterinarians (private practitioners)
- Auction Markets

5. Other

- Race Tracks
- 4-H/FFA
- County fairs

C. Industry Focus

1. List the livestock types that will be a significant part of the project. Include an estimated count of the number of eligible premises for each species and an estimated count of the number of eligible animals in each species.

Species	2004 Population Figures	2005 Population Figures
Beef <ul style="list-style-type: none"> ▪ Number of beef cattle in the state ▪ Number of operations with cattle 	426,000 head 15,000 farms	432,000 head 14,500 farms
Dairy <ul style="list-style-type: none"> ▪ Number of dairy cattle in the state ▪ Number of operations with milk cows 	114,000 head 1800 farms	108,000 head 1600 farms
Swine <ul style="list-style-type: none"> ▪ Number of hogs in the state ▪ Number of operations with hogs 	4.1M head 4600 farms	4M head 3600 farms
Equine <i>(number of animals only)</i>	219,000	219,000
Poultry <i>(number of animals only)</i>	6.9M birds	6.9M birds
Cervids <ul style="list-style-type: none"> ▪ Number of animals in the state ▪ Number of herds with cervids 	7600 head 450 herds	6400 head 453 herds
Sheep/Goats <ul style="list-style-type: none"> ▪ Number of animals in the state ▪ Number of operations with sheep/goats 	68000 head 2100 herds	63000 head 2000 herds
Ratites <ul style="list-style-type: none"> ▪ Number of ratites in the state ▪ Number of operations with ratites 	1100 head 88 herds	1100 head 88 herds
Aquaculture <ul style="list-style-type: none"> ▪ Number of aquaculture farms 	100	100
Llama <ul style="list-style-type: none"> ▪ Number of animals in the state ▪ Number of herds 	1600 head 230 herds	1600 head 230 herds

2. List the sectors of the production/marketing/processing industry involved and explain their involvement. Include an approximate number of eligible premises from each and an approximate number participating from each:

Sector	Number Involved	# Registered
Producers	All	848
Feed Lots	Unknown	0
Auction Markets	22	14
Order Buyers	Unknown	
State Inspected Slaughter Plants	260	19
Federal Inspected Slaughter Plants	500	1
Refrigerated Warehouses	90	0
Livestock Dealers	176	0
Feeder Swine Dealers	36	0
Slaughter Livestock Buyers	219	0
Feed Stores	309	0
Veterinarians* <i>(# reported reflects individual clinics registered NOT individual veterinarians)</i>	3000	19
Egg Suppliers	840	
Egg Producers	75	
Aquaculture Operations		6
Tagging Sites		17
Research Facilities		7
Quarantine Facilities		2
US Ports of Entry		3
Exhibitions (Fairs, zoos, etc...)		58
Non-Production State/Federal Facilities		

D. Communication Plan

1. Explain how this project supports the outreach efforts of USDA, APHIS.

The State of Illinois is working diligently to increase the number of livestock premises registered. This is the first step in the development of a National Animal Identification System.

As opposed to enforcing a federal mandatory program – the Department of Agriculture is taking a voluntary approach and will continue outreach efforts during the next year specifically focusing on the service industry while continuing to.

2. Explain how the industry will gain a better understanding of NAIS.

Attending meetings and interacting directly with producers has been the best method to gaining compliance.

In order to get a better “buy in” by producers there is a need to do more outreach to commodity/agriculture organizations and other service sector businesses.

IDOA will focus outreach efforts on those businesses with the hope that it will increase the number of producers voluntarily participating in the program.

The Department also intends to focus more efforts on security. If the Department has a master list of information that can be quickly accessed it will enhance the emergency response capabilities that state and federal officials can provide to producers in emergency situations. There is also a need to focus more on the potential damages that can happen from a disease outbreak that would be lessened if the agency already had animal id information since it would reduce the time to identify animals and/or conduct trace backs in disease situations.

3. Explain how the communication efforts will be coordinated in the state/region.

The Department of Agriculture will be the primary point of contact. IDOA intends to utilize field inspectors in other divisions of the Department of Agriculture as well as other state agencies to help discuss the importance of participation in the program. IDOA depends on the producer groups to help provide information as well as the Cooperative Extension Service.

In addition, IDOA is working more on notifying state licensees of the program and asking them to register their premises as well.

Last year the Department traveled the state attending producer meetings throughout the winter and worked events held on the state fairgrounds to ask producers to sign up their premises. The list created a number of excellent industry contacts and large producer contacts within the state that IDOA can now begin following up with.

4. Explain how this project will work with key producer contacts to disseminate information (i.e., livestock markets, feed stores, and veterinarians).

There are a number of service industry professionals that have more day-to-day contact with livestock producers. Future success will be dependent on service professionals helping to encourage producers to participate in this program.

IDOA also intends to ask agriculture organizations and other service industry businesses for suggestions on how to promote the program. IDOA would also like to explore the potential for an incentive program of sort be developed as a way to further encourage producer participation.

The Department of Agriculture is also utilizing the state's county USDA-Farm Service Agency (FSA) offices. FSA is allowing IDOA to put informational materials in their offices in an attempt to reach producers in yet another way.

Since this program is not mandatory it is essential IDOA focus on direct contact with producers. Attendance at producer meetings throughout the state has been very helpful and will continue to result in future premises registered.

Direct contact with producers also better allows IDOA staff to address any potential concerns producers may have in participating in this program.

5. Explain how you will obtain feedback from stakeholders and share this feedback with USDA, APHIS.

The Department of Agriculture intends to work with the University of Illinois Extension Service to develop a survey that would gauge producer participation, potential challenges/reasons producers are not participating, suggestions for future outreach efforts, etc... Survey results will be shared with USDA-APHIS.

The most important thing IDOA has learned in the last year is that face-to-face contact is essential for the overall success of this program. It is anticipated survey results may further substantiate that claim.

III. Describe how each activity is to be accomplished.

The project manager will coordinate activities by providing brochures and staff for speaking at any requested seminar, meeting, competitions, and sales. Posters are used in areas where stakeholders may congregate (county fairs, sale barns, auction markets. A labeling device been purchased so that labels appropriate for Illinois are placed on all brochures. IDOA provides at any events attended by IDOA staff, extension or commodity partner's magnets, and business cards. The following brochures and handouts are

extensively used: Premises forms (tailored to our state), The " Why Premises Registration?" sheet

The "Recommended Livestock Premises Definition" sheet, USDA program aids 1797 and 1800.

IV. Describe any unusual features of the project.

This project is unique in that it will complement two or three on-going state initiatives. The NAIS proposal also encourages the Illinois Department of Agriculture to increase its cooperative efforts with other state and national governmental agencies. Department of Agriculture staff regularly work with staff from the Illinois Department of Public Health, the Illinois Department of Homeland Security, the Illinois Terrorism Task Force, the US Department of Homeland Security, USDA-APHIS, VS and the Centers for Disease Control. However, this project provides an opportunity for cooperation among the aforementioned agencies to increase. One of the things this project is encouraging is the sharing of data for purposes of homeland security. Currently IDOA is working to establish a Memorandum of Understanding (MOU) with the Illinois Department of Public Health. This MOU would allow IDOA to access valuable information on the state's dairy farms based on GPS data collected by IDPH dairy inspectors. IDOA is evaluating the potential to enter into similar agreements with other state and federal agencies in the upcoming year.

Another project receiving a large amount of attention is a GIS Initiative. In 2004, the Department of Agriculture also began work on the development of a major tool to aid with the agency's emergency response capabilities. IDOA is working to create a computer-based GIS system for all livestock facilities and agricultural assets in the state.

The project we are requesting funds for complements both projects mentioned above and is yet one more example of interagency cooperation both among state and federal agencies.

Illinois is also unique in that it has three US Ports of Entry and two quarantine facilities. All of these facilities have registered. This is crucial as it is key to quickly identifying locations of animals involved in commerce. It is extremely beneficial to the state and US homeland security/emergency response teams that all five of these facilities are registered. 100% compliance is very important and a fact that the agency intends to highlight with producers during face-to-face meetings. This is also a major accomplishment as this program is currently voluntary in Illinois and these facilities are not required to participate.

V. Resources Required

1. Number and Type of Personnel:

a. Are they currently employed?

IDOA will use current staff for the project with the temporary employment of a Project Manager covering the time frame of the Cooperative agreement. One person will be devoted to the project full-time and 100% of their duties are related to the management and oversight of this program.

Three additional employees will be working on this project. The estimated percentage of their time spent on this project is as follows.

- 1) IDOA-Division of Food Safety & Animal Protection Division Manager - 10%
- 2) State Veterinarian - 10%
- 3) IDOA-Division of Food Safety & Animal Protection - Bureau of Animal Health & Welfare/Emergency Response Personnel - 40%

There is also an employee in another Division of the Department of Agriculture that is working on a major GIS Initiative for the agency. The project involves the development of a major livestock asset inventory database with GPS coordinate information included. This individual will be assisting with this project in basic data entry and other data acquisition issues.

The one full-time employee responsible for the oversight of this program is a contractual position. As of January 1, 2006 the position can no longer be contractual. IDOA will need to determine whether or not it is possible to hire another individual to work on this program full-time or if an existing employee(s) will assume these responsibilities in addition to their existing duties.

b. Are employees paid or volunteers? Paid

c. How will unemployment payments be handled upon assistance?

Per State of Illinois

2. Equipment Needed:

- a. Provided by cooperator - IDOA has computers, printers, and vehicles available for the project.
- b. Provided by APHIS - None.
- c. Provided from APHIS funds - None.

- d. Identity major purchase needs – None.
- e. Use to be made of equipment purchased – N/A.
- f. Method of procurement – State purchasing regulations.
- g. Method of disposition – State disposition regulations.

3. Travel Needs:

- a. Method of payments – per approved submitted travel voucher.
- b. Rate of payments for travel and per diem.

All per diem and travel reimbursement will be made according to State of Illinois travel guide.

- c. Approving official – Division Manager of Food Safety and Animal Protection.
- d. Number of trips authorized.

The number will depend on seminars available, presentations by staff as Speakers, and continual education of program at various meetings.

4. Supplies:

- a. Special supplies – supplies to be used for mailings and brochures.
- b. Method of procurement.
Any procurement will be completed according to state regulations.

VI. Accomplishments to be achieved:

A. Identify each activity or function, which is to be a part of this project. Be sure to include the required activities listed here.

1. Premises registration (required)

- a) Prepare an accomplishment plan involving the variety of species to be involved within each reporting period and the number of premises registered in each species type reported to the National Premises Information Repository as a raw number and as a percentage of the total qualified premises holding that species in the area.

percentage of the total qualified premises to be registered in that sector.

2. Outreach/Education (required)

a) Prepare an accomplishment plan involving the number of stakeholders to be educated regarding NAIS.

(1) The number of groups/organizations and businesses to be contacted in the various stakeholder sectors as a raw number and as a percentage of the total number of groups/organizations available in the area. Specific target areas should be identified (livestock markets, feed stores and veterinarians, etc.). Number and percentage of the targeted areas that actually displayed and supported the distribution of NAIS information (requires follow-up to ensure the information is being disseminated).

(2) The number of contacts made with individual stakeholders as a raw number. For example, the number of stakeholder that participated in informational meetings.

b) A survey to assess the impact of previous training and identify impediments to producer participation will be conducted by UI Extension. The survey will be developed for on-line and on-site meeting delivery. Questions will focus on awareness of the Premise Registration Program, limitations to participation, and effectiveness of different mechanisms of exposure to information. All results will be summarized and estimates of the impact of the program made based on producer responses. The results will also be used to revise educational materials if necessary to address specific areas of concern to producers regarding the program.

3. Legislation Implemented

The Illinois Department of Agriculture has been waiting for national legislation to be developed which would provide for specific program details and policies.

Since there has not yet been any federal legislation, Illinois intends to explore the potential for introducing state legislation during the Spring 2006 Legislative Session.

3. Other

Animal ID System Budget Proposal

8/5/2005

	SUBMITTED 09/06/04	SUBMITTED 08/05/05
Illinois Department of Agriculture Expenses		
Project Manager - Temporary	\$25,300.00	\$25,000.00
Salary & benefits for IDOA Staff leading the project	\$35,500.00	\$80,000.00
Travel	\$5,000.00	\$5,000.00
Printing & phone line	\$4,700.00	\$4,700.00
Supplies	\$2,000.00	\$2,000.00
Radio Advertisement		\$20,000.00
NAAS mailing		\$35,000.00
U of IL Extension Expenses		
IL-TRAILL U of IL Extension web site	\$4,500.00	\$4,500.00
Travel/meetings expenses	\$8,500.00	\$8,500.00
Staff assistant	\$6,900.00	\$17,000.00
Supplies		\$3,500.00
Grant related Outreach		
Toll-Free Phone Service	\$750.00	\$800.00
Beef- Staff Assistant	\$12,500.00	\$12,500.00
Commodity Groups Printing, Materials & Mailing Expenses	\$5,000.00	\$5,000.00
Commodity Groups Meeting Expenses	<u>\$19,350.00</u>	<u>\$21,500.00</u>
	<u>\$130,000.00</u>	<u>\$245,000.00</u>

In-Kind Contributions - Refer to the Budget Narrative

**APPLICATION FOR
FEDERAL ASSISTANCE**

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED 08/05/2005	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY 08/05/2005	Federal Identifier 06-9117-0938-CA

5. APPLICANT INFORMATION

Legal Name: ILLINOIS DEPARTMENT OF AGRICULTURE	Organizational Unit: Department: BUREAU OF ANIMAL HEALTH
Organizational DUNS: 806812111	Division: FOOD SAFETY AND ANIMAL PROTECTION
Address: Street: 801 EAST SANGAMON AVENUE, P O BOX 19281	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: MS. First Name: MICHELLE
City: SPRINGFIELD	Middle Name JEAN
County: SANGAMON	Last Name SERGENT
State: ILLINOIS	Suffix:
Zip Code 62794-9281	Email: MSERGENT@AGR.STATE.IL.US

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
37-1262734

Phone Number (give area code) (217) 785-2677	Fax Number (give area code) (217) 558-6033
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8. TYPE OF APPLICATION:
 New Continuation Revision
If Revision, enter appropriate letter(s) in box(es).
(See back of form for description of letters.)
Other (specify)

7. TYPE OF APPLICANT: (See back of form for Application Types)
A - STATE
Other (specify)

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
10-025

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
NATIONAL ANIMAL IDENTIFICATION SYSTEM

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
STATE OF ILLINOIS

13. PROPOSED PROJECT
Start Date: 10/22/2005 Ending Date: 10/21/2006

14. CONGRESSIONAL DISTRICTS OF:
a. Applicant 20TH DISTRICT b. Project

15. ESTIMATED FUNDING:

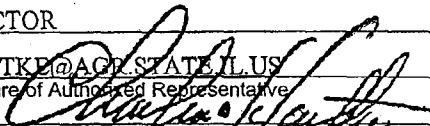
a. Federal	\$	245,000 ⁰⁰
b. Applicant	\$	⁰⁰
c. State	\$	⁰⁰
d. Local	\$	⁰⁰
e. Other	\$	⁰⁰
f. Program Income	\$	⁰⁰
g. TOTAL	\$	245,000 ⁰⁰

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Yes. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON
DATE: 08/05/2005
b. No. PROGRAM IS NOT COVERED BY E. O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes" attach an explanation. No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.

a. Authorized Representative

Prefix MR.	First Name CHARLES	Middle Name A.
Last Name HARTKE	Suffix	
b. Title DIRECTOR	c. Telephone Number (give area code) (217) 785-4789	
Email: CHARTKE@AGR.STATE.IL.US	Fax Number (give area code) (217) 558-6033	
d. Signature of Authorized Representative 	e. Date Signed August 5, 2005	

BUDGET INFORMATION - Non-Construction Programs

SECTION A: BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. National	10-025	\$	\$	\$ 245,000.00	\$	\$ 245,000.00
2. Animal						
3. Identification						
4. System						
5. Totals		\$ 0.00	\$ 0.00	\$ 245,000.00	\$ 0.00	\$ 245,000.00

SECTION B: BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) NAIS	(2)	(3)	(4)	
a. Personnel	\$ 75,000.00	\$	\$	\$	\$ 75,000.00
b. Fringe Benefits	30,000.00				30,000.00
c. Travel	5,000.00				5,000.00
d. Equipment					0.00
e. Supplies	2,000.00				2,000.00
f. Contractual	128,300.00				128,300.00
g. Construction					0.00
h. Other	4,700.00				4,700.00
i. Total Direct Charges (sum of 6a-6h)	245,000.00	0.00	0.00	0.00	245,000.00
j. Indirect Charges					0.00
k. TOTALS (sum of 6i and 6j)	\$ 245,000.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 245,000.00
7. Program Income	\$	\$	\$	\$	\$ 0.00

Authorized for Local Reproduction

Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

SECTION C - NONFEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. National Animal Identification System	\$	\$	\$	\$ 0.00
9.				0.00
10.				0.00
11.				
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 245,000.00	\$ 61,250.00	\$ 61,250.00	\$ 61,250.00	\$ 61,250.00
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$ 245,000.00	\$ 61,250.00	\$ 61,250.00	\$ 61,250.00	\$ 61,250.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. National Animal Identification System	\$ 245,000.00	\$ 225,000.00	\$ 200,000.00	\$ 150,000.00
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 245,000.00	\$ 225,000.00	\$ 200,000.00	\$ 150,000.00

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

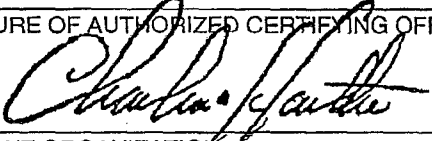
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE DIRECTOR
APPLICANT ORGANIZATION ILLINOIS DEPARTMENT OF AGRICULTURE	DATE SUBMITTED 8/5/2005

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 7 CFR Part 3017, Section 3017.510, Participants' responsibilities. The regulations were published as Part IV of the January 30, 1989, Federal Register (pages 4722-4733). copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the proposed covered transaction.

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any other the offenses enumerated in paragraphs (1)(b) of this certification; and
 - (d) have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

ILLINOIS DEPARTMENT OF AGRICULTURE

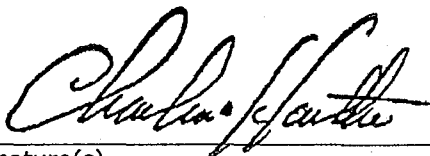
06-9117-0938-CA

Organization Name

PR/Award Number or Project Name

CHARLES A. HARTKE, DIRECTOR

Name(s) and Title(s) of Authorized Representative(s)



Signature(s)

8/5/2005

Date

U.S. DEPARTMENT OF AGRICULTURE

**CERTIFICATION REGARDING
DRUG-FREE WORKPLACE REQUIREMENTS (GRANTS)
ALTERNATIVE I - FOR GRANTEEES OTHER THAN INDIVIDUALS**

This certification is required by the regulations implementing Sections 5151-5160, of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D; 41 U.S.C. 701 et seq.), 7 CFR Part 3017, Subpart F, Section 3017.600, Purpose. The January 31, 1989, regulations were amended and published as Part II of the MAY 25, 1990, Federal Register (pages 21681- 21691). Copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the grant.

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

Alternative I

- A. The grantee certifies that it will or will continue to provide a drug-free workplace by:
- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - (b) Establishing an ongoing drug-free awareness program to inform employees about --
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
 - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
 - (e) Notify the agency in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position, title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
 - (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, State, zip code)

9732 Shattuc Road, Centralia, Marion, IL 62801-5858

2100 South Lake Storey Road, Galesburg, Knox, IL 61402-2100

Illinois State Fairgrounds, Springfield, Sangamon, IL 62794-9281

Check if there are workplaces on file that are not identified here.

ILLINOIS DEPARTMENT OF AGRICULTURE

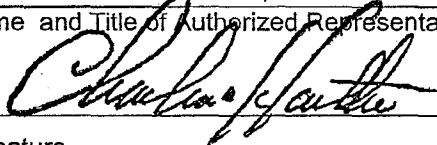
06-9117-0938-CA

Organization Name

Award Number or Project Name

CHARLES A. HARTKE, DIRECTOR

Name and Title of Authorized Representative



8/5/2005

Signature

Date

Instructions for Certification

1. By signing and submitting this form, the grantee is providing the certification set out on pages 1 and 2.
2. The certification set out on pages 1 and 2 is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If know, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) all "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if sued to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known:</i> ILLINOIS DEPARTMENT OF AGRICULTURE 801 EAST SANGAMON AVENUE SPRINGFIELD, ILLINOIS 62702 Congressional District, <i>if known:</i> 20TH	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, <i>if known:</i>	
6. Federal Department/Agency: USDA	7. Federal Program Name/Description: NATIONAL ANIMAL IDENTIFICATION SYSTEM CFDA Number, <i>if applicable:</i> 10-025	
8. Federal Action Number, <i>if known:</i> 06-9117-0938-CA	9. Award Amount, <i>if known:</i> \$ 245,000	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> N/A	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> N/A	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: CHARLES HARTKE Title: DIRECTOR Telephone No.: 217-785-4789 Date: 8/5/2005	

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Standard Form LLL (Rev. 7-97)